The following purchase order is agency specific. For piggy-backing opportunities you must contact the buyer.

King County

Finance and Business Operations Division
Procurement and Contract Services Section
Department of Executive Services

CNK-ES-0340
3rd Floor
401 5th Avenue
Seattle, WA 98104

206-263-9400
206-296-7676 Fax
TTY Relay: 771
www.kingcounty.gov

VENDOR:
ARCHITECTURAL ELEVATOR CONSULTING LLC
1326 5TH AVE STE 630
SEATTLE,WA 98101

SHIP TO
KC DEPARTMENT OF EXEC SERVICES
PROCUREMENT-GOODS & SERVICES
401 5TH AVE, CNK-ES0340
SEATTLE,WA 98104
United States

BILL TO
KC DEPT OF EXECUTIVE SERVICES
ACCOUNTS PAYABLE, 3RD FLOOR
401 5TH AVE, CNK-ES0320
SEATTLE,WA 98104
United States

Purchase Order

PURCHASE ORDER NO.	REVISION	PAGE
531595	0	1

THIS PURCHASE ORDER NUMBER MUST APPEAR ON ALL INVOICES, PACKING LISTS, CARTONS AND CORRESPONDENCES RELATED TO THIS ORDER.

CREATION DATE	BUYER
21-NOV-11	M,Schumacher
DATE OF REVISION	BUYER

CUSTOMER ACCT #	VENDOR NO.	PAYMENT TERMS	FREIGHT TERMS	F.O.B	SHIP VIA
	36706	2%10th/Net30days	Paid	Destination	SELLER CHOOSES

CONFIRM TO / TELEPHONE
BOB NICHOLSON (206) 5272049

REQUESTOR / DELIVER TO

LINE	PART NUMBER / DESCRIPTION	DELIVERY DATE	QUANTITY	UNIT	UNIT PRICE	EXTENSION	T
	Furnish Elevator and Escalator Inspection Services as requested by authorized King County Agencies, Departments and Divisions during the period December 1, 2011 through November 30, 2016, in accordance with King County ITB 1546-11-MZS and responding bid of Architectural Elevator Consulting, LLC, the secondary contractor, both incorporated by reference as if fully set forth herein. This is not the authority for ordering specific goods and services. Individual standard purchase orders, with unique purchase order numbers, referencing this contract, will be issued that provide the authority for ordering. All invoices must reference the individual standard purchase order number, and not the contract agreement number, to avoid delay in payment.						
	Purchase Agreement Effective From: 01-DEC-11 To: 30-NOV-16	Amount Agreed:					

SEE REVERSE SIDE FOR ADDITIONAL TERMS AND CONDITIONS. THIS ORDER IS SUBJECT TO ALL THE TERMS AND CONDITIONS ON THE FRONT AND BACK HEREOF.

Required invoice information: Ship to Address, Mailstop, Requestor's Name, Purchase Order Number, Contract Number (if applicable), Line Item Number, description and Unit Price as stated on the purchase order. Missing information may result in payment delays.

ACCEPTANCE:
This purchase order expressly limits acceptance to the terms and conditions stated herein.

TOTAL

Authorized signature

PURCHASE ORDER
GENERAL TERMS AND CONDITIONS

Compliance: By acceptance of this order, the vendor warrants full compliance with all applicable local, state or federal laws and regulations including specific statutory requirements of Federal Agencies. Upon request, the purchasing agent will provide applicable contract terms and conditions.

Acceptance/Changes: All goods or materials purchased hereunder are subject to approval by King County. No substitutions or changes of any nature under this purchase order will be accepted unless approved in writing by King County.

Inspections: By acceptance of this order, vendor grants the right to Buyer to make periodic visits to the vendor's plant or their subcontractor's for inspection of materials or to determine actual progress of manufacture.

Change Orders: Change orders modifying the terms and conditions herein will be without effect unless issued and authorized in writing by King County.

Cancellation: The County may cancel any purchase order or any part thereof by written notice at any time, without penalty, if the vendor fails to comply with the terms, specifications, delivery/completion date or to perform the work with diligence.

Invoices: Invoices must reference the purchase order number.

All vendors licensed to do business in Washington State must bill King County for applicable tax. Indicate discount terms to the County for prompt payment. Discount period to be extended if the invoice is returned for correction or clarification.

Shipping Instructions: All shipments must contain a packing slip. Unless other wise specified, all goods are to be shipped prepaid, FOB Destination. Where shipping addresses indicate room numbers, the Vendor shall make delivery to that location at no additional charge, including, but not limited to delivery, packing and or crating.

Warranty: The Vendor warrants that all products delivered under this purchase order shall be free from defects in material and workmanship, and shall be fit for the intended purpose. This warrantee is in addition to any standard warranty or guarantee give by vendor to the County. All products determined by King County to be defective shall be replaced within 15 days of notice by King County.

All costs of replacement, including shipping charges shall be borne by the Vendor. Vendor warrants that the merchandise is free and clear of all liens and encumbrances and that vendor has a good and marketable title to same. Vendor warrants that the equipment, materials or service on this order do not infringe any patent, registered trademark or copyright and agrees to hold King County harmless in the event of any infringement or claim thereof.

Certification Regarding Debarment, Suspension and Other Responsibility Matters: By providing goods or services under this purchase order, the Contractor agrees to comply with the requirements of 49 CFR 29, Subpart C while this offer is valid and throughout the period of any Contract that may arise from this Contract. The Contractor further agrees to include a provision requiring such compliance in its lower tier covered transactions.

Order of Precedence: When this purchase order is issued subsequent to the results of a request for bid or proposal, the terms and conditions contained in the solicitation or resulting contract shall control.

ORIGINAL

Invitation to Bid

King County

Department of Executive Services
Finance and Business Operations Division
Procurement and Contract Services Section
206-263-9400 TTY Relay: 711

ADVERTISED DATE: SEPTEMBER 29, 2011

Invitation to Bid (ITB) Title:	1546-11-MZS
ITB Number:	Inspection Services, Elevators and Escalators
Due Date:	October 18, 2011 - 2:00 p.m.
Buyer:	Mary Schumacher, mary.schumacher@kingcounty.gov, 206-263-9305
Alternate Buyer:	Paul Price, paul.price@kingcounty.gov, 206-263-9309

Furnish Inspection Services for Elevators and Escalators accordance with the attached instructions, requirements and specifications.

TOTAL BID PRICE: $ 35,000 ea

PRE-BID AND SITE INSPECTION CONFERENCE

Date: Wednesday October 4, 2011
Time: 10:30 a.m. Pacific Time
Location: KC Procurement & Contract Srvs.
401 – 5th Ave, 3rd FL, Bid Room
Seattle, WA 98104
Walk through of conveyances in DSTT to follow the Pre-bid conference.

Sealed Bids are hereby solicited and will only be received by:
King County Procurement Services Section
Chinook Building, 3rd Floor
401 Fifth Avenue
Seattle, WA 98104
Office Hours: 8:00 a.m. – 5:00 p.m.
Monday - Friday

BIDDERS SHALL COMPLETE AND SIGN THE FORM BELOW.

We acknowledge that all Addenda have been examined as part of the Contract documents. The submittal is signed by an authorized representative of the Bidder accepting all terms and conditions contained in the bid and any addenda. We acknowledge that attaching our terms and conditions or modifying the ITB terms and conditions may result in our bid being rejected.

Company Name: ARCHITECTURAL ELEVATOR CONSULTING, LLC		
Address: 1326 5th AVE., # 630		City/State /Postal Code: SEATTLE, WA 98101
Signature: Robert J. Nicholson	Print name and title: ROBERT J. NICHOLSON – PRESIDENT	
Email: BOB@ARCHITECTURALELEVATOR.com	Phone: 206 527-2049	Fax: (206) 624-7226
DBE Certification Number		

Upon request, this Invitation to Bid will be provided in alternative formats such as Braille, large print, audiocassette or computer disk for individuals with disabilities.

SECTION 1 Instruction To Bidders

1.1 Introduction

The purpose of this Invitation to Bid is to establish a contract to provide goods or services on an as-needed basis. Any quantities listed herein are for bidding purposes only and represent King County's estimated annual requirements. The County will be neither obligated nor restricted to the quantities or locations indicated.

1.2 Bid Submittal Procedure

The **original and (2) copies** of this solicitation document shall be completed, signed and submitted. Failure to return the solicitation document may result in disqualification of the Bidder. The original shall be noted or stamped "original". Bids and modifications thereof shall be enclosed in a sealed envelope, with the "Bid Opening Label" completed and affixed.

Bidders are encouraged to use recycled paper in the preparation of additional documents submitted with this solicitation, and shall use both sides of paper sheets where practicable.

Sealed bids shall contain all required attachments and information and be submitted to King County (hereinafter "County") no later than the date, time and place stated on the front of this ITB or as amended. The Bidder shall show the title and number, the due date specified, and the name and address of the Bidder on the face of the envelope. Bidders are cautioned that failure to comply may result in non-acceptance of the bid. The Bidder accepts all risks of late delivery of mailed bids or of mis-delivery regardless of fault. Bids properly and timely submitted will be publicly opened.

Bids will only be accepted from Contractors able to complete the delivery of goods or services described in the specifications. Joint ventures shall submit one bid for the team, with accompanying proof of the joint venture agreement.

If a company chooses not to submit a bid, the County requests the company advise the Buyer by email if they desire to remain listed for the subject of this ITB and state reason they did not submit a bid.

1.3 Electronic Commerce and Correspondence

King County is committed to reducing costs and facilitating quicker communication to the community by using electronic means to convey information. As such, most Invitations to Bid, Requests for Proposal, and Requests for Qualifications as well as related exhibits, appendices, and issued addenda can be found on the King County Internet Web Site, located at http://www.kingcounty.gov/procurement Current bidding opportunities and information are available by accessing the "Solicitations" tab in the left hand column.

King County Procurement Services features an Online Vendor Registration (OVR) program that permits vendors, consultants and contractors to register their business with the County. This OVR system allows interested parties to either directly register their firm by creating a unique User ID, or to visit the website as a guest. Information regarding bid documents will be available to all users; however, site visitors accessing the site as a guest will not be able to document their interest in a project or add their name to the document holder's list. They will receive no automatic notification of issued addenda. As such, the County encourages full

registration in order to directly communicate with document holders regarding any issued addenda or other important information concerning the solicitation.

After submittals have been opened in public, the County will post a listing of the businesses submitting proposals, and later, any final award determination.

Full information on vendor registration is available at the website.

1.4 Alterations to Document

Any addition, limitation or provision made or attached to the bid may render it non-responsive and/or irregular and be cause for its rejection.

Bidders may be required to submit additional documents as part of the bid package. Any alteration of such documents by erasure or interlineations shall be explained or noted and initialed by the Bidder.

1.5 Late Bids

Bids, modifications of bids, and withdrawal of bids received at the office designated in the solicitation after the exact hour and date specified for receipt will not be considered.

1.6 Cancellation of ITB or Postponement of Bid Opening

The County reserves the right to cancel the ITB at any time.

The County may change the date and time for submitting bids prior to the date and time established for submittal.

1.7 Addenda

If at any time, the County changes, revises, deletes, clarifies, increases, or otherwise modifies the ITB, the County will issue a written Addendum to the ITB.

1.8 Questions and Interpretation of the ITB

No oral interpretations of the ITB will be made to any Bidder. All questions and any explanations must be requested in writing and directed to the Buyer no later than seven (7) days prior to the due date specified in the solicitation. Oral explanations or instructions are not binding. Any information modifying a solicitation will be furnished to all Bidders by an addendum.

Days, as referenced in this document, are calendar days unless otherwise specified.

Communications concerning this bid, with other than the listed Buyer may cause the Bidder to be disqualified.

1.9 Examination of Bid Documents

The submission of a bid shall constitute an acknowledgement upon which the County may rely that the Bidder has thoroughly examined and is familiar with the ITB, including any work site identified in the ITB, and has reviewed and inspected all applicable statutes, regulations, ordinances and resolutions addressing or relating to the goods or services to be provided hereunder.

The failure of a Bidder to comply with above requirement shall in no way relieve the Bidder from any obligations with respect to its bid or to any Contract awarded pursuant to this ITB. No

claim for additional compensation shall be allowed which is based upon a lack of knowledge or misunderstanding of this ITB.

1.10 Modifications of Bid or Withdrawal of Bid Prior to Bid Due Date

At any time before the time and date set for submittal of bids, a Bidder may submit a modification of a bid previously submitted to the County. All bid modifications shall be made in writing, executed and submitted in the same form and manner as the original bid.

Bids may be withdrawn by written notice received prior to the exact hour and date specified for receipt of bids. A bid also may be withdrawn in person by a Bidder or authorized representative provided their identity is made known and they sign a receipt for the bid, but only if the withdrawal is made prior to the exact hour and date set for receipt of bids. All requests for modification or withdrawal of bids, whether in person or written, shall not reveal the amount of the original bid.

1.11 Bid Withdrawal After Public Opening

Except for claims of error granted by the County, no Bidder may withdraw a bid after the date and time established for submitting bids, or before the award and execution of a Contract pursuant to this ITB, unless the award is delayed for a period exceeding the period for bid effectiveness.

Requests to withdraw a bid due to error must be submitted in writing along with supporting evidence for such claim for review by the county. Evidence must be delivered to the county within two (2) business days after request to withdraw. The County reserves the right to require additional records or information to evaluate the request. Any review by the County of a bid and/or any review of such a claim of error, including supporting evidence, creates no duty or liability on the County to discover any other bid error or mistake, and the sole liability for any bid error or mistake rests with the Bidder.

1.12 Cost of Bid and Samples

The County is not liable for any costs incurred by Bidder in the preparation and evaluation of bids submitted. Samples of items required must be submitted to the location and by the time specified. Unless otherwise specified, samples shall be submitted with no expense to the County. If not destroyed by testing, samples may be returned at the Bidder's request and expense.

1.13 Collusion

By signing this bid, the Bidder certifies that they have not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding. If the County determines that collusion has occurred among Bidders, none of the bids from the participants of such collusion will be considered. The County's determination will be final.

1.14 Bid Effective Date

All bids submitted shall be a firm bid for a minimum period of 90 days after the bid opening date, unless otherwise stated in writing in the bid. The County may request a Bidder grant an extension of the bid effective period.

1.15 Bid Price and Tax

The bid price shall include everything necessary for the prosecution and completion of the Contract, except as may be provided otherwise in this ITB.

Bid Prices shall include all freight charges, FOB to the designated delivery point(s).

Taxes: Sales/use taxes and Federal excise taxes shall not be included in the bid price. The County shall pay any Washington State sales/use taxes applicable to the Contract price or tender an appropriate amount to the Contractor for payment to Washington State. The Bidder is cautioned that taxes may be a factor in evaluating the total cost of bid.

The County is exempt from Federal excise and Transportation taxes. All other government taxes, duties, fees, licenses, permits, royalties, assessments and charges shall be included in the bid price. Said exemption is made pursuant to Chapter 32 of the Internal Revenue Code and Registry No. A-1 02374. When requested, an exemption certificate will be furnished.

1.16 Protest Procedures

King County has a process in place for receiving protests based upon invitation to bid or contract awards. The protest procedures are available at http://www.kingcounty.gov/procurement/faq, Suppliers web page.

SECTION 2 Bid Evaluation and Contract Award

2.1 Evaluation of Bids

Bids will be evaluated by the County to determine which bid, if any, may be deemed to be the low responsive bid from a responsible Bidder, and should be accepted in the best interest of the County.

King County may use prompt payment discount terms in evaluation of this ITB; however, discounts terms of less the twenty (20) days will not be considered. Minimum acceptable payment terms by the County without benefit of twenty (20) day discount will be net 30 days.

In the event of a discrepancy between the unit price and the extended amount for a bid item, the unit price will govern.

2.2 Responsive and Responsible

Responsive

The County will consider all the material submitted by the Bidder, and other evidence it may obtain otherwise, to determine whether the Bidder is in compliance with the terms and conditions set forth in this ITB.

Responsible

In determining the responsibility of the Bidder, the County may consider the ability, capacity and skill to perform the Contract or provide the service required (inspection of the Bidder's facility may be required prior to award); the character, integrity, reputation, judgment and efficiency; financial resources to perform the Contract properly and within the times specified; the quality and timeliness of performance on previous contracts with the County and other agencies, including, but not limited to, the effort necessarily expended by the County and other agencies in securing satisfactory performance and resolving claims; compliance with federal, state and local laws and ordinances relating to public contracts; other information having a bearing on the decision to award the Contract.

Failure of a Bidder to be deemed responsible or responsive may result in the rejection of a bid.

2.3 Financial Resources and Auditing

If requested by the County, prior to the award of a contract, the Bidder shall submit proof of adequate financial resources available to carry out the execution and completion of work required by this Contract.

King County reserves the right to audit the Contractor throughout the term of this Contract to assure the Contractor's financial fitness to perform and comply with all terms and conditions contained within this Contract. King County will be the sole judge in determining the Contractor's financial fitness in carrying out the terms of this Contract.

2.4 Forms Required Before Contract Award

The Bidder shall submit, within five (5) Days of notification from the County, the applicable documents, insurance, bonds, sworn statements, and other requirements prior to award. Failure by the Bidder to submit required documents may result in rejection of the bid.

- Equal Benefit Worksheet and Declaration Form
- Internal Revenue Service Form W-9 *
- **Certificate of Insurance and Endorsement** * – Have Insurance Agent e-mail or Fax to Buyer evidence of insurance from insurer(s) satisfactory to the county certifying to the coverage of insurance set forth in this ITB.

*If not on file with the County

2.5 Rejection of Bids

The County reserves the right to reject any bid for any reason or to waive informalities and irregularities in bids.

In consideration for the County's review and evaluation of its bid, the Bidder waives and releases any claims against the County arising from any rejection of any or all bids, including any claim for costs incurred by Bidders in the preparation and presentation of bids submitted in response to this ITB. In addition, Bidders waive the costs of providing additional information requested.

2.6 Single Bid Receipt

If the County receives a single responsive, responsible bid, the County may request an extension of the bid acceptance period and/or conduct a price or cost analysis on such bid. The Bidder shall promptly provide all cost or pricing data, documentation and explanation requested by the County to assist in such analysis. By conducting such analysis, the County shall not be obligated to accept the single bid; the County reserves the right to reject such bid or any portion thereof.

2.7 Public Disclosure of Bids

This Contract shall be considered a public document and will be available for inspection and copying by the public in accordance with the Public Records Act, Chapter 42.56 RCW (the "Act").

If the Contractor considers any portion of any record provided to King County under this Contract, whether in electronic or hard copy form, to be protected under law, the Contractor shall clearly identify each such portion with words such as "CONFIDENTIAL," "PROPRIETARY" or "BUSINESS SECRET." If a request is made for disclosure of such portion, the County will determine whether the material should be made available under the Act. If the County determines that the material is subject to disclosure, the County will notify the Contractor of the request and allow the Contractor ten (10) business days to take whatever action it deems necessary to protect its interests. If the Contractor fails or neglects to take such action within said period, the County will release the portions of record(s) deemed by the County to be subject to disclosure. King County shall not be liable to the Contractor for inadvertently releasing records pursuant to a disclosure request not clearly identified by the Contractor as "CONFIDENTIAL," "PROPRIETARY" or "BUSINESS SECRET."

2.8 Contract Award

Contract award, if any, will be made by the County to the low, responsive, responsible Bidder. The County will have no obligations until an award is made and an order placed with the Contractor. The County reserves the right to award one (1) or more contracts as determined to be in the County's best interest. The County may accept any individual item, or group of items, or schedules of any bid, unless otherwise stated herein.

A written award mailed or otherwise furnished to a Contractor within the time for acceptance shall be a binding Contract.

SECTION 3 Standard Contractual Terms and Conditions

3.1 Administration

This Contract is between the County and the Contractor who shall be responsible for providing the goods or services described herein. The County is not party to defining the division of work between the Contractor and its Subcontractors. The Contractor represents that it has or shall obtain all duly licensed or qualified personnel, materials and equipment required to perform work hereunder.

The Contractor's performance under this Contract may be monitored and reviewed by a Project Manager appointed by the County. Reports and data required to be provided by the Contractor shall be delivered to the Project Manager or Buyer. Questions by the Contractor regarding interpretation of the terms, provisions and requirements of this Contract shall be addressed to the Buyer or Project Manager for response.

3.2 Contract Amendments

No oral order or conduct by the County shall constitute a Contract Amendment. Contract Amendments shall only be effective upon written notification by the County. The County reserves the right to amend the Contract to add or delete goods or services within the intended scope of this Contract. This may include, but is not limited to approval of replacements for discontinued items, add items of like function, or similar in nature or purpose to the originally listed products; the provision of ancillary services in response to minor changes in County needs; extend the Contract to include optional terms.

Cost or Price Analysis may be required by the County for the evaluation of Contract modifications, terminations, revision to Contract requirements or other circumstances as determined by the County.

3.3 Invoices and Payment

The Contractor shall submit properly certified invoices to King County. The invoice(s) shall contain the following information: The purchase order/contract number, item numbers, description of supplies or services, quantities, unit prices, extended totals, and discounts, if applicable. For services, identify specific deliverables, and/or hourly rates, hours worked, total hours or related fees.

The Contractor shall bill to the address on the purchase order. The County will take advantage of any prompt payment discount terms bid. Discount periods shall be extended if the invoice is returned for credit or correction.

When a purchase order is issued against this Contract that has the potential for multiple or partial deliveries, a separate invoice shall be generated for each completed delivery accepted by the County.

Failure to comply with these requirements or to provide an invoice in conformance with the Contract may delay payment.

Upon acceptance of payment, the Contractor waives any claims for the goods or services covered by the Invoice. No advance payment shall be made for the goods or services furnished by Contractor pursuant to this Contract.

King County will not be bound by prices contained in an invoice that are higher than those in the currently approved price list. If a price increase has not been accepted in writing by King County, the invoice may be rejected and returned to the Contractor for a correction.

3.4 Rejection of Goods or Services

After award, the Buyer or authorized County representative shall have the option of rejecting or refusing delivery of any and all goods or services which are not in strict conformity with the requirements of the specification and the bid. All rejected goods or services shall be promptly replaced or re-performed and be subject to approval by the County. All replacement goods and services shall be provided at the Contractor's own expense.

3.5 Re-procurement Costs

When a Contractor fails to furnish goods or services in accordance with the terms of this Contract, and the County must purchase at a price greater than the Contract price, the difference may be charged to the Contractor. The County may exercise this charge as a credit against invoices due the Contractor.

3.6 Termination for Convenience/Default/Non-appropriation

A. Termination for Convenience

The County for its convenience may terminate this Contract, in whole or in part, at any time by written notice sent certified mail, return receipt requested, to the Contractor. After receipt of a Notice of Termination ("Notice"), and except as directed by the County, the Contractor shall immediately stop work as directed in the Notice, and comply with all other requirements in the Notice. The Contractor will be paid its costs, including necessary and reasonable Contract close-out costs and profit on that portion of the work satisfactorily performed up to the date of termination as specified in the notice. The Contractor shall promptly submit its request for the termination payment, together with detailed supporting documentation. If the Contractor has any property in its possession belonging to the County, the Contractor shall account for the same and dispose of it in the manner the County directs. All termination payment requests may be subject to Cost or Price Analysis to determine reasonableness and compliance with the Contract and applicable laws and regulations.

B. Termination for Default

If the Contractor does not deliver work in accordance with the Contract, or the Contractor fails to perform in the manner called for in the Contract, or the Contractor fails to comply with any material provisions of the Contract, the County may terminate this Contract, in whole or in part, for default as follows:

A Notice to Cure will be served on the Contractor by certified mail (return receipt requested) or a delivery service capable of providing a receipt. The Contractor shall have ten (10) Days from the date the Notice to Cure was served to cure the default or provide the County with a detailed written plan, which indicates the time and methods needed to bring the work into compliance and cure the default.

If the Contractor has not cured the default or the plan to cure the default is not acceptable to the County, the County may terminate the Contract. Termination shall occur by serving a Notice of Termination by certified mail (return receipt requested) or delivery service capable of providing a receipt on the Contractor setting forth the manner in which the Contractor is in default and the effective date of termination.

The Contractor will only be paid for work delivered and Accepted, or work performed in accordance with the manner of performance set forth in the Contract less any damages to the County caused by or arising from such default. All termination payment requests are subject to Cost or Price Analysis to verify compliance with the Contract and applicable laws and regulations.

The termination of this Contract shall in no way relieve the Contractor from any of its obligations under this Contract nor limit the rights and remedies of the County hereunder in any manner.

C. Termination for Non-Appropriation

If expected or actual funding is withdrawn, reduced, or limited in any way prior to the termination date set forth in this Contract or in any amendment hereto, the County may, upon written notice to the Contractor, terminate this Contract in whole or in part.

In accordance with King County Code 4.04.040B.6, payment shall not exceed the appropriation for the year in which termination is effected. If this Contract is terminated for non-appropriation, the County shall be liable only for payment in accordance with the terms of this Contract for performance rendered prior to the effective date of termination; and, the Contractor shall be released from any obligation under this Contract or a related Purchase Order to provide further work pursuant to the Contract as are affected by the termination.

Funding under this Contract beyond the current appropriation year is conditional upon the appropriation by the County Council of sufficient funds to support the activities described in this Contract. Should such an appropriation not be approved, the Contract shall terminate at the close of the current appropriation year. The appropriation year ends on December 31 of each year.

3.7 Force Majeure

The term force majeure shall include, without limitation by the following enumeration: acts of nature, acts of civil or military authorities, fire, accidents shutdowns for purpose of emergency repairs, industrial, civil or public disturbances, causing the inability to perform the requirements of this Contract. If any party is rendered unable, wholly or in part, by a force majeure event or any event cause not within such party's control, to perform or comply with any obligation or condition of this Contract, upon giving notice and reasonably full particulars to the other party, such obligation or condition shall be suspended only for the time and to the extent commercially practicable to restore normal operations. In the event the Contractor ceases to be excused pursuant to this provision, then the County shall be entitled to exercise any remedies otherwise provided for in this Contract, including Termination for Default.

Whenever a force majeure event causes the Contractor to allocate limited resources between or among the Contractor's customers, the County shall receive no less priority in respect to such allocation than any of the Contractor's other customers.

3.8 Taxes, Licenses, and Certificate Requirements

This Contract and any of the work provided hereunder is contingent and expressly conditioned upon the ability of the Contractor to provide the specified goods or services consistent with applicable federal, state or local laws and regulations. If, for any reason, the Contractor's required compliances are terminated, suspended, revoked or in any manner modified from their status at the time this Contract becomes effective, the Contractor shall notify the County immediately of such condition in writing.

The Contractor and Subcontractor(s) shall maintain and be liable for all taxes (except sales/use taxes), fees, licenses, permits and costs as may be required by applicable federal, state or local laws and regulations as applicable to the work under this Contract.

3.9 Assignment

Neither party shall assign any interest, obligation or benefit under or in this Contract or transfer any interest in the same, whether by assignment or novation, without prior written consent of the other party. If assignment is approved, this Contract shall be binding upon and inure to the benefit of the successors of the assigning party. This provision shall not prevent the Contractor from pledging any proceeds from this Contract as security to a lender so long as King County Policy Fin10-1 (AP), paragraph 6.1.3 is followed. If assignment is approved, it shall be accepted by either party upon the posting of all required bonds, securities and the like by the assignee, and the written agreement by assignee to assume and be responsible for the obligations and liabilities of the Contract, known and unknown, and applicable law.

3.10 Indemnification and Hold Harmless

To the maximum extent permitted by law and except to the extent caused by the sole negligence of the County, the Contractor shall indemnify and hold harmless the County, its officers, officials, agents and employees, from and against any and all suits, claims, actions, losses, costs, penalties and damages of whatsoever kind or nature arising out of, in connection with, or incident to the goods and/or services Provided by or on behalf of the Contractor. In addition, the Contractor shall assume the defense of the County and its officers and employees in all legal or claim proceedings arising out of, in connection with, or incidental to such goods and/or services: shall pay all defense expenses, including reasonable attorney's fees, expert fees and costs incurred by the County on account of such litigation or claims. This indemnification obligation shall include, but is not limited to, all claims against the County by an employee or former employee of the Contractor or its Subcontractors, and the Contractor, by mutual negotiation, expressly waives all immunity and limitation on liability, as respects the County only, under any industrial insurance act, including Title 51 RCW, other Worker's Compensation act, disability benefit act, or other employee benefit act of any jurisdiction which would otherwise be applicable in the case of such claim. In the event that the County incurs any judgment, award and/or cost including attorney's fees arising from the provisions of this subsection, or to enforce the provisions of this subsection, any such judgment, award, fees, expenses and costs shall be recoverable from the Contractor. In the event of litigation between

the parties to enforce the rights under this subsection, reasonable attorney fees shall be allowed to the substantially prevailing party.

In the event the County incurs attorney fees and/or costs in the defense of claims under this provision such attorney fees and costs shall be recoverable from the Contractor. In addition King County shall be entitled to recover from the Contractor its attorney fees, and costs incurred to enforce the provisions of this section.

The indemnification, protection, defense and save harmless obligations contained herein shall survive the expiration, abandonment or termination of this Contract.

Nothing contained within this provision shall affect and/or alter the application of any other provision contained within this Contract.

3.11 Applicable Law and Forum

Except as hereinafter specifically provided, this Contract shall be governed by and construed according to the laws of the State of Washington, including, but not limited to, the Uniform Commercial Code, Title 62A RCW. Any claim or suit concerning this Contract shall only be filed in either the King County Superior Court or U.S. District for the Western District of Washington, in Seattle.

3.12 Conflicts of Interest and Non-Competitive Practices

By entering into this Contract to perform work, the Contractor represents that it has no direct or indirect pecuniary or proprietary interest, and that it shall not require any interest that conflicts in any manner or degree with the work required to be performed under this Contract. The Contractor shall not employ any Person or agent having any conflict of interest. In the event that the Contractor or its agents, employees or representatives hereafter acquires such a conflict of interest, it shall immediately disclose such conflict to the County. The County shall require that the Contractor take immediate action to eliminate the conflict up to and including termination for default.

By entering into this Contract to perform work, the Contractor represents that no Persons except as designated by Contractor shall be employed or retained to solicit or secure this Contract with an agreement or understanding that a commission, percentage, brokerage, or contingent fee would be paid; and no gratuities, in the form of entertainment, gifts or otherwise, were bided or given by the Contractor or any of its agents; employees or representatives, to any official, member or employee of the County or other governmental agency with a view toward securing this Contract or securing favorable treatment with respect to the awarding or amending, or the making of any determination with respect to the performance of this Contract.

3.13 Disputes, Claims and Appeals

The Contractor shall address questions or claims regarding the Contract in writing to the Buyer and Project Manager, within ten (10) Days of the date on which the Contractor knows or should know of the question or claim. No claim by the Contractor shall be allowed if asserted after final payment under this Contract. No claim shall be allowed for any costs incurred more than ten (10) Days before the Contractor gives written notice, as required in this section. The Buyer and Project Manager shall ordinarily respond to the Contractor in writing with a decision,

but absent such written response, the question or claim shall be deemed denied upon the tenth (10th) Day following receipt by the Buyer and Project Manager.

In the event the Contractor disagrees with the determination of the Buyer and Project Manager, the Contractor shall within five (5) Days of the date of such determination, appeal the determination in writing to the Procurement and Contract Services Section Manager. Such written notice of appeal shall include all information necessary to substantiate the appeal. The Procurement and Contract Services Section Manager shall review the appeal and make a determination in writing, which shall be final. Appeal to the Procurement and Contract Services Section Manager shall be a condition precedent to alternative dispute resolution or litigation.

Pending final decision of a dispute hereunder, the Contractor shall proceed diligently with the performance of the Contract and in accordance with the direction of the Buyer or Project Manger. Failure to comply precisely with the time deadlines under this subsection as to any claim shall operate as a waiver and release of that claim and an acknowledgement of prejudice to the County.

3.14 Maintenance of Records/Audits

The Contractor shall maintain, and shall require any Subcontractor to maintain, accounts and records, including personnel, property, financial, and programmatic records and such other records as may be deemed necessary by the County to ensure proper accounting for all Contract funds and compliance with this Contract. All such records shall sufficiently and properly reflect all direct and indirect costs of any nature expended and services provided in the performance of this Contract. The Contractor shall make such documents available to the County for inspection, copying, and auditing upon request.

All records referenced in subsection (A) shall be maintained for a period of six (6) years after completion of work or termination hereof unless permission to destroy them is granted by the Office of the Archivist in accordance with RCW Chapter 40.14, or unless a longer retention period is required by law.

The Contractor shall provide access to its facilities, including those of any Subcontractor, to the County, the State and/or federal agencies or officials at all reasonable times in order to monitor and evaluate the services provided under this Contract.

The Contractor agrees to cooperate with County or its designee in the evaluation of the services provided under this Contract and to make available all information reasonably required by any such evaluation process. The results and records of said evaluation shall be maintained and disclosed in accordance with RCW Chapter 42.56.

If the Contractor expended a total of $500,000.00 or more in federal awards during its fiscal year, and is a non-profit organization, and is, under this Contract, carrying out or administering a program or portion of a program, it shall have an independent audit conducted in accordance with OMB Circular A-133, which shall comply with the requirements of GAAS (generally accepted auditing standards), GAO's Government Audit Standards and OMB Circular A-133, as amended and as applicable. Contractors expending federal awards from more than one source shall be responsible for determining if the combined financial awards are equal to or greater than $500,000.00. The Contractor shall provide one copy of the audit report to each County division providing federal awards to the Contractor no later than nine (9) months subsequent to the end of the Contractor's fiscal year.

3.15 Other Public Agency Orders

The Washington State Interlocal Cooperative Act RCW 39.34 provides that other governmental agencies may purchase goods or services on this solicitation or Contract in accordance with the terms and prices indicated therein if all parties agree. The County does not accept any responsibility or involvement in the purchase orders or contracts issued by other public agencies.

3.16 Environmental Purchasing Policy

Bidders able to supply products containing recycled and environmentally preferable materials that meet performance requirements are encouraged to offer them in bids and proposals.

The Bidder and Contractor shall use recycled paper for all printed and photocopied documents related to the submission of this solicitation and fulfillment of the Contract and shall, whenever practicable, use both sides of the paper. (Reference: KCC 10.16 & King County Executive Policy CON 7-1-2).

3.17 Industrial and Hazardous Waste

The Contractor shall comply with all applicable local ordinances, state and federal statutes, and supporting rules and regulations governing the discharge of industrial waste to public sewer, private sewer, or side sewer tributary to the metropolitan sewer system.

Contractor shall handle and dispose of all hazardous wastes in compliance with all applicable local, state and federal laws and regulations, including the Resource Conservation and Recovery Act, the Washington Hazardous Waste Management Act, and applicable rules and regulations of the Environmental Protection Agency and the Department of Ecology governing the generation, storage, treatment, transportation or disposal of hazardous wastes.

3.18 Patents and Royalties

The Contractor is responsible for paying all license fees, royalties or the costs of defending claims for the infringement of any intellectual property that may be used in performing this Contract.

3.19 Supported Employment Program

The County encourages the creation of supported employment programs for developmentally and/or severely disabled individuals. The County itself has such a program and is actively seeking to do business with those Contractors and Consultants that share this employment approach. If your firm has such a program, or intends to develop such a program during the life of this Contract, please submit Documentation supporting this claim with your bid. If you have questions, or need additional information, please contact the Community and Human Services Division, Developmental Disabilities Division, 206-263-9061.

3.20 Nondiscrimination and Equal Employment Opportunity

A. Nondiscrimination in Employment - During performance of this Contract, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of the employee or applicant's sex, race, color, marital status, national origin, religious affiliation, disability, sexual orientation, gender identity or expression or age except by minimum age and retirement provisions, unless based upon a bona fide occupational qualification.

B. Equal Employment Opportunity Efforts - The Contractor will undertake equal employment opportunity efforts to ensure that applicants and employees are treated, without regard to their sex, race, color, marital status, national origin, religious affiliation, disability, sexual orientation, gender identity or expression or age. The Contractor's equal employment opportunity efforts shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeships. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices setting forth this nondiscrimination clause. In accordance with KCC 12.16.010.J, "equal employment opportunity efforts" shall mean active efforts to ensure equal opportunity in employment that is free from all forms of discrimination.

C. Equal Benefits To Employees With Domestic Partners - Pursuant to Ordinance 14823, King County's "Equal Benefits" (EB) ordinance, and related administrative rules adopted by the County Executive, as a condition of award of a contract is valued at $25,000 or more, the Contractor agrees that it shall not discriminate in the provision of employee benefits between employees with spouses, and employees with domestic partners during the performance of this Contract. Failure to comply with this provision shall be considered a material breach of this Contract, and may subject the Contractor to administrative sanctions and remedies for breach.

When the contract is valued at $25,000 or more, the Contractor shall complete a Worksheet and Declaration form for County review and acceptance prior to Contract execution. The EB Compliance forms, Ordinance 14823 (which is codified at KCC Chapter 12.19) and related administrative rules are incorporated herein by reference. They are also available online at http://www.kingcounty.gov/procurement/forms, Equal Benefits web page.

D. Nondiscrimination in Subcontracting Practices - During the term of this Contract, the Contractor shall not create barriers to open and fair opportunities to participate in County contracts or to obtain or compete for contracts and subcontracts as sources of supplies, equipment, construction and services. In considering offers from and doing business with Subcontractor and suppliers, the Contractor shall not discriminate against any person because of their sex, race, color, marital status, national origin, religious affiliation, disability, sexual orientation, gender identity or expression or age except by minimum age and retirement provisions, unless based upon a bona fide occupational qualification.

E. Compliance with Laws and Regulations - The Contractor shall comply fully with all applicable federal, state and local laws, ordinances, executive orders and regulations that prohibit discrimination. These laws include, but are not limited to, RCW Chapter 49.60, Titles VI and VII of the Civil Rights Act of 1964, the American with Disabilities Act, and the Restoration Act of 1987. In addition, King County Code chapters 12.16, 12.17 and 12.18 are incorporated herein by reference and the requirements in these code sections shall specifically apply to this Contract. The Contractor shall further comply fully with any equal opportunity requirements set forth in any federal regulations, statutes or rules included or referenced in the Contract documents.

F. Sanctions for Violations - Any violation of the mandatory requirements of the provisions of this Section shall be a material breach of Contract, for which the Consultant may be subject to damages, withholding payment and any other sanctions provided for by Contract and by applicable law.

3.21 Non-Waiver of Breach

No action or failure to act by the County shall constitute a waiver of any right or duty afforded to the County under the Contract; nor shall any such action or failure to act by the County constitute an approval of, or acquiescence in, any breach hereunder, except as may be specifically stated by the County in writing.

3.22 Severability

Whenever possible, each provision of this Contract shall be interpreted to be effective and valid under applicable law. If any provision is found to be invalid, illegal, or unenforceable, then such provision or portion thereof shall be modified to the extent necessary to render it legal, valid, and enforceable and have the intent and economic effect as close as possible to the invalid, illegal, and unenforceable provision. If it is not possible to modify the provision to render it legal, valid and enforceable, then the provision shall be severed from the rest of this Contract. The invalidity, illegality or unenforceability of any provision shall not affect the validity, legality or enforceability of any other provision of this Contract, which shall remain valid and binding.

3.23 Certification Regarding Debarment, Suspension and Other Responsibility Matters

This Contract is a covered transaction for purposes of 49 CFR Part 29. As such, the Contractor is required to verify that none of the Contractor, its principals, as defined at 49 CFR 29.995, or affiliates, as defined at 49 CFR 29.905, are excluded or disqualified as defined at 49 CFR 29.940 and 29.945. The Contractor is required to comply with 49 CFR 29, Subpart C and must include the requirement to comply with 49 CFR 29, Subpart C in any lower tier covered transaction it enters into. By signing and submitting this Contract, the Contractor certifies as follows:

The certification in this clause is a material representation of fact relied upon by King County. If it is later determined that the Contractor knowingly rendered an erroneous certification, in addition to remedies available to King County, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The Contractor agrees to comply with the requirements of 49 CFR 29, Subpart C while this offer is valid and throughout the period of any Contract that may arise from this Contract. The Contractor further agrees to include a provision requiring such compliance in its lower tier covered transactions.

3.24 Incorporation of Documents

The Contract between the awarded Bidder and King County shall include all documents mutually entered into at the time of Contract award, specifically including the Contract document, the solicitation, and the response to the solicitation. The Contract must include, and be consistent with, the specifications and provisions stated in this solicitation. King County shall not be bound nor obligated to enter into or sign additional agreements and or documents other than those required by law.

SECTION 4 Specific Contractual Terms & Conditions

4.1 Contract Value

The estimated annual value of this Contract is approximately $ 80,000.00. King County will not be limited, restricted or bound by this dollar value, nor shall the County be obligated to purchase any items contained in this ITB.

4.2 Contract Term

The term of this Contract will be five (5) years, subject to the termination clauses contained herein. King County reserves the right to extend the term if determined to be in the best interest of the County.

Contracts or purchase orders will be issued by the County. Contract amendments or change orders issued by the County may reflect modification(s) of contract terms, funding or other matters.

King County reserves the right to purchase the goods or services described herein from other sources. The Contractor does not have the exclusive right to fill all of the County's requirements for the goods or services awarded nor will the County be obligated to purchase the estimated annual quantity, or any quantity contained in this Contract.

4.3 Contract Administrative Fee

This Contract is subject to an Administrative Fee (Fee), of 1% (.01), when used by political subdivisions outside of King County's Departments, Divisions, or Agencies. The Fee shall be based on total sales made to each governmental entity, less sales/use tax, freight and any credit(s), (if applicable), in accordance with Contract terms and conditions. The Fee shall be paid by the Contractor, payable and remitted to King County Procurement and Contract Services Section on July 31st and January 31st of each year and cover sales for the first and second half of the calendar year respectively. Fees submitted shall reference its respective contract number and include a sales report for the payment period showing the total sales to each governmental entity, excluding King County. The Contractor shall not invoice the Fee to any contract user as an item on a sales invoice or by any other means.

4.4 Price Revisions

Prices shall remain firm for the duration of the Contract period. The Contractor may request price changes, and shall supply documentation satisfactory to King County such as changes to the Producers Price Index for the commodity, the Consumer Price Index for the Seattle-Tacoma-Bremerton area, or a manufacturer's published notification of price change(s). Reasonable price changes based on market conditions and price/cost analysis may be approved by King County.

King County will evaluate this information to determine if revising the pricing is considered fair and reasonable to the satisfaction of King County. Requests for any such change are to be made in writing to the Buyer in the Procurement Services Division office. A written change order issued by the County will institute the price adjustment, provide the new prices and establish the effective date for the new prices.

The Contractor shall endeavor to give the King County Procurement Services Section thirty (30) days but not less than fourteen (14) days written notice prior to the effective date of the price increase. The County may cancel the Contract if the price increase request is not approved.

All price reductions at the manufacturer's or distributor's level shall be reflected in a reduction of the Contract price(s) to King County retroactive to the effective date of the price reductions.

4.5 Use Report

The Contractor shall, if requested, submit to the Buyer a report of sales made to King County under this Contract. The report, in a format acceptable to King County, shall identify the detail required by the Buyer, which may include but is not limited to, delivery location, the item description, whether it's a Contract or non-Contract item, quantity, price and discount.

4.6 Warranty

The Contractor warrants that the work performed under this Contract shall be free from defects in material and workmanship, and shall conform to all requirements of this Contract, for a period of at least twelve (12) months from date of acceptance of such work by the County. Any work corrected shall be subject to this subsection to the same extent as the work initially provided.

The Bidder shall provide, upon request by the County, their standard warranty. The warranty shall be specific for all components of the equipment regardless of whether these components were built by the original equipment manufacturer or outside suppliers. King County may avail itself of the Bidder or manufacturer's standard warranty if more beneficial to the County.

Conducting of tests or inspections, acceptance, or the processing of payment(s) by the County shall not constitute a waiver of any rights under this Contract or in law. The termination of this Contract shall in no way relieve the Contractor from its warranty responsibility.

The Contractor shall ensure that the warranty requirements of this Contract are enforceable through and against the Contractor's suppliers, vendors, distributors and Subcontractors. The Contractor shall cooperate with the County in facilitating warranty related work by such suppliers, vendors, distributors and Subcontractors.

Contractor warrants that the Services shall in all material respects conform to the requirements of this Contract. Contractor warrants that qualified professional personnel with in-depth knowledge shall perform the Services in a timely and professional manner; and that the Services shall conform to the standards generally observed in the industry for similar Services. Contractor warrants that the Services shall be in compliance with all applicable laws, rules and regulations.

4.7 Warranty Remedies

Whenever possible, the Contractor shall provide "on the spot" settlement of warranty claims or disputes, and authorize local representatives to act on the equipment manufacturer's behalf.

If at any time during the twelve (12) Month period immediately following acceptance of any work covered by this Contract, Contractor or the County discovers one or more material defects or errors in the work or any other aspect in which the work materially fails to meet the

provisions of the warranty requirements herein Contractor shall, at its own expense and within thirty (30) Days of notification of the defect by the County, correct the defect, error or nonconformity.

The County shall give written notice of any defect to the Contractor. If the Contractor has not corrected defect within thirty (30) Days after receiving the written notice, the County, in its sole discretion, may correct the defect itself. In the case of an emergency where the County believes delay could cause serious injury, loss or damage, the County may waive the written notice and correct the defect. In either case the County shall charge-back the cost for such warranty repair to the Contractor.

The Contractor is responsible for all costs of repair or replacement in order to restore the work to the applicable Contract requirements, including shipping charges, for work found defective within the warranty period, regardless of who actually corrects the defect.

4.8 Health Insurance Portability and Accountability Act (HIPAA

The Health Insurance Portability and Accountability Act (HIPAA) of 1996, requires health information about an individual to remain secure and private. The Contractor shall comply with all HIPAA regulations in full. Information on this Act can be found at the Office of Civil Rights website: http://www.hhs.gov/ocr/hipaa/.

4.9 Compliance with Section 504 of the Rehabilitation Act of 1973, as amended (Section 504) and the American with Disabilities Act of 1990 as amended (ADA)

Pursuant to Title II of the ADA, and Section 504, King County must not discriminate against people with disabilities in providing services, programs or activities even if those services, programs or activities are carried out by contractors. The Contractor agrees that it shall provide all programs, services, and activities to County employees or members of the public under this Contract in the same manner as King County is obligated to under Title II of the ADA, and Section 504 and shall not deny participation or the benefits of such services, programs, or activities to people with disabilities on the basis of such disability. Failure to comply with this section shall be a material breach of, and grounds for the immediate termination of, this Contract.

The Contractor agrees to provide to persons with disabilities access to programs, activities and services provided under the Contract or agreement, as required by the disability access laws as defined by KCC 12.16; and:

The Contractor shall not discriminate against persons with disabilities in providing the work under the Contract. In any subcontracts for the programs, activities and services under their Contract or agreement with the County, the Consultant shall include the requirement that the subcontract provide to persons with disabilities access to programs, activities and services provided under the Contract or agreement, as required by the disability access laws as defined by KCC 12.16, that the Subcontractor shall not discriminate against persons with disabilities in providing the work under the Contract and that the Subcontractor shall provide that the County is a third party beneficiary to that required **provision.**

4.10 Independent Status of Contractor

In the performance of this Contract, the parties shall be acting in their individual, corporate or governmental capacities and not as agents, employees, partners, joint ventures, or associates of one another. The parties intend that an independent contractor relationship shall be created by this Contract. The employees or agents of one party shall not be deemed or construed to be the employees or agents of the other party for any purpose whatsoever. Contractor shall not make any claim of right, privilege or benefit, which would accrue, to an employee under chapter 41.06 RCW or Title 51 RCW.

4.11 Non-Disclosure Obligation

Data provided by the County either before or after Contract award shall only be used for its intended purpose. Contractors and Subcontractors shall not utilize nor distribute the County data in any form without the express written approval of the County.

While performing the work under this Contract, the Contractor may encounter personal information, licensed technology, Software, Documentation, drawings, schematics, manuals, data and other materials described as "Confidential", "Proprietary" or "Business Secret". The Contractor shall not disclose or publish the information and material received or used in performance of this Contract. This obligation is perpetual. The Contract imposes no obligation upon the Contractor with respect to confidential information which the Contractor can establish that: a) was in the possession of, or was rightfully known by the Contractor without an obligation to maintain its confidentiality prior to receipt from the County or a third (3rd) party; b) is or becomes generally known to the public without violation of this Contract; c) is obtained by the Contractor in good faith from a third (3rd) party having the right to disclose it without an obligation of confidentiality; or, d) is independently developed by the Contractor without the participation of individuals who have had access to the County's or the third (3rd) party's confidential information. If the Contractor is required by law to disclose confidential information the Contractor shall notify the County of such requirement prior to disclosure.

4.12 Prohibition on Asbestos-Containing Products

Asbestos-containing products shall not be provided to the County under this Contract, unless no practicable alternative for the asbestos-containing product exists and the Contractor obtains the written consent of the County. The Contractor shall notify the County in writing at least sixty (60) Days before it plans to supply the County with an asbestos-containing product. The County will respond to such notification within thirty (30) Days of receipt. The Contractor shall comply with applicable state, federal and local labeling and other laws, regulations and ordinances pertaining to asbestos-containing products, including, but not limited to, the State of Washington Industrial Safety and Health Act and the federal Occupational Safety and Health Act.

4.13 Insurance Requirements

Prior to the award of a contract, the Contractor shall obtain and maintain the minimum insurance set forth herein for the duration of this Contract for itself and any Subcontractor performing work. By requiring such minimum insurance, the County shall not be deemed or construed to have assessed the risks that may be applicable to the Contractor under this Contract. The Contractor shall assess its own risks and, if it deems appropriate and/or prudent,

maintain greater limits and/or broader coverage. The Contractor shall maintain limits and scope of insurance no less than:

General Liability: $1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage including products and completed operations, and for those policies with aggregate limits, a $2,000,000 aggregate limit;

Professional Liability, errors and Omissions: $1,000,000 per Claim and in Aggregate.

Automobile Liability: $1,000,000 combined single limit per accident for bodily injury and property damage;

Workers' Compensation: Statutory requirements of the state of residency;

Employers Liability Stop Gap: $1,000,000

Except for Workers Compensation, the county, its officers, officials, employees and agents are to be covered as additional insureds as respects liability arising out of activities performed by or on behalf of the Contractor in connection with this Contract. Use the above exact language on the Endorsement Form. **The County requires this Endorsement to complete the Contract.**

SECTION 5 FEDERAL TRANSIT ADMINISTRATION (FTA) REQUIREMENTS

This Contract shall be partially funded by the Federal Transit Administration (FTA). The following provisions include, in part, certain standard terms and conditions required by the U.S. Department of Transportation. All Contractual provisions required by the U.S. Department of Transportation, as set forth in FTA Circular 4220.1F, dated November 1, 2008, as are the requirements of the Master Agreement between King County and the U.S. Department of Transportation, including all "flow down" provisions to third party Contractors, Subcontractors and or suppliers are hereby incorporated by reference. Unless stated otherwise, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Contract. The Contractor agrees not to perform any act, fail to perform any act, or refuse to comply with any County requests that would cause the County to be in violation of the FTA terms and conditions.

5.1 Changes in Federal laws, Regulations, Policies and Administrative Practices

New federal laws, regulations, and directives may be established after the date this Contract is established and may apply to this Contract. To achieve compliance with changing federal requirements, the Contractor agrees to accept all changed requirements that apply to this Contract and require Subcontractors comply with revised requirements as well.

Ref: FTA Master Agreement MA (16), 10-1-2009, Section 2(c).

5.2 Federal Changes

The Contractor agrees to comply with all applicable FTA laws, regulations and directives, including without limitation, those listed directly or by reference in the Master Agreement between the County and FTA, as they may be amended or promulgated from time to time during the term of this Contract. Contractor's failure to comply shall constitute a material breach of this Contract.

Ref: 49 CFR Part 18 and FTA Master Agreement MA (16), 10-1-2009, Section 2(c).

5.3 No Federal Government Obligations to Third Parties

The Contractor agrees that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of this Contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this Contract and shall not be subject to any obligations or liabilities to the Contractor or any other party (whether or not a party to this Contract) pertaining to any matter resulting from this Contract.

The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clause shall not be modified, except to identify the Subcontractor who shall be subject to its provisions.

Ref: FTA Master Agreement MA (16), 10-1-2009, Section 2(f).

5.4 Equal Employment Opportunity

The Contractor shall not discriminate against any employee or applicant for employment because of race, color, creed, sex, disability, age, or national origin. The Contractor shall take affirmative action to ensure that the hiring of applicants and treatment of employees during employment is conducted without regard to their race, color, creed, sex, disability, age, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment, advertising, layoff or termination, rates of pay or

other forms of compensation, and selection for training, including apprenticeship. Contractor further agrees to insert a similar provision in all Subcontracts, except Subcontracts for standard commercial supplies or raw materials.

The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this non-discrimination clause.

Ref: Executive Order 11246, as amended by Executive Order 11375; Title VII of the Civil Rights Act, as amended, 42 USC § 2000e; Federal transit laws at 49 USC § 5332; section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 USC §§ 621-634; section 102 of the Americans with Disabilities Act, as amended, 42 USC §§ 12101 et seq.; 29 CFR Parts 1625 and 1630; 41 CFR § 60-1.4, Title IX of the Education Amendments of 1972, as amended, 20 USC §§ 1681 et seq., and with implementing U.S. DOT regulations, "Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance, " 49 CFR Part 25. .

5.5 Title VI Compliance

The Contractor shall comply with and shall ensure the compliance by all Subcontractors under this Contract with all the requirements imposed by Title VI of the Civil Rights Act of 1964 (42 USC 2000d) and the regulations of the federal Department of Transportation, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation -- Effectuation of Title VI of the Civil Rights Act," 49 CFR Part 21, (hereinafter "Regulations") as they may be amended from time to time. The Federal Government and or the County has a right to seek judicial enforcement with regard to any matter arising under Title IV of the Civil Rights Act and implementing regulations.

Ref: 49 CFR Part 21.19.

During the performance of this Contract, the Contractor, for itself, its assignees and successors-in-interest agrees as follows:

A. Nondiscrimination—49 CFR Part 26. The Contractor or Subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of United States Department of Transportation ("USDOT") — assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy, as the County deems appropriate.

B. Prompt Payment. The Contractor agrees to pay each Subcontractor under this Contract for satisfactory performance of its Contact no later than thirty (30) Days from the receipt of each payment the Contractor receives from the County. The Contractor agrees further to return retainage payments to each Subcontractor within thirty (30) Days after the Subcontractor's Work is satisfactorily completed. Any delay or postponement of payment from the above referenced period may occur only for good cause following written approval of the County. This clause applies to both DBE and non-DBE Subcontractors.

C. Solicitations for Subcontracts, Including Procurements of Materials and Equipment. In all solicitations either by competitive bidding or negotiation made by the Contractor for Work to be performed under a subcontract, including procurements of materials or leases of

equipment, each potential Subcontractor or supplier shall be notified by the Contractor of the Contractor's obligations under this Contract and the Regulations relative to nondiscrimination on the grounds of race, color or national origin.

D. Sanctions for Noncompliance. In the event of the Contractor's noncompliance with the nondiscrimination provisions of this Contract, King County shall impose such contract sanctions as it or the FTA may determine to be appropriate, including, but not limited to:

1. Withholding of payments to the Contractor under the contract until the Contractor complies, and/or

2. Cancellation, termination, or suspension of the contract, in whole or in part.

E. Incorporation of Provisions. The Contractor shall include the provisions of paragraphs A through D in every Subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto. The Contractor shall take such action with respect to any subcontract or procurement as the County or the FTA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a Subcontractor or supplier as a result of such direction, the Contractor may request the County to enter into such litigation to protect the interests of the County and, in addition, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

F. Contractor's List. Contractor is requested to submit the name, address, DBE/Non-DBE status, annual gross receipts, and age of all Subcontractors and suppliers bidding or quoting on DOT-assisted projects. **Compliance with the requirement to report the Contractor's List information is a matter of responsibility**. Contractor is requested to submit the Contractor's List prior to Contract Work.

5.6 Disadvantaged Business Enterprise Requirements.

A. DBE Participation. The County has not established a DBE goal for this Contract. In accordance with this section, the County has an overall annual DBE goal of thirteen percent (13%) for FTA assisted projects. However, the County requires that the Contractor report any actual DBE participation on this Contract to enable the County to monitor accurately DBE program compliance.

B. Reporting Requirement. The USDOT requires that the Contractor report any actual DBE participation on this Contract to enable the County to monitor DBE participation accurately and for reporting purposes.

C. DBE Eligibility. A DBE means a business certified as a DBE by the Washington State Office of Minority and Women's Business Enterprise (OMWBE).

D. DBE Listing. A Directory of DBE firms certified by the Washington State Office of Minority and Women's Business Enterprises (OMWBE) is available online at the following website address: http://www.omwbe.wa.gov/directory/directory.htm Telephone the OMWBE at 360-753-9693. **All DBE firms must be certified by OMWBE.**

E. Counting DBE Participation. The County will count DBE participation toward its annual overall DBE goal as provided for in 49 CFR 26.55.

1. DBE Contractor. The County will only count the Work a DBE Contractor performs with its own forces as well as the Work performed by DBE Subcontractors with their own work forces.

2. Joint Venture. When a DBE performs as a participant in a joint venture, King County will only count that portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the Work that the DBE performs with its own forces.

3. Commercially Useful Function. The County will count expenditures to a DBE contractor only for DBEs who perform a commercially useful function on that contract.

   a. DBE performs a commercially useful function when it is responsible for execution of the Work of the Contract and is carrying out its responsibilities by actually performing, managing, and supervising the Work involved. To perform a commercially useful function, the DBE must also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, installing (where applicable) and paying for the material itself.

   b. DBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract or project through which funds is passed in order to obtain the appearance of DBE participation.

      (1) A DBE does not perform a commercially useful function if it fails to exercise responsibility with its own work force for at least 30 percent of the total cost of its contract, or the DBE subcontracts a greater portion of the Work of a Contract than would be expected on the basis of normal industry practice for the type of Work involved.

4. Expenditures with DBEs. Expenditures with DBEs for materials or supplies shall be counted as provided in the following:

   a. **Manufacturer.** If the materials or supplies are obtained from a DBE manufacturer, count 100 percent of the cost of the materials or supplies towards the DBE goal. A manufacturer is a firm that operates or maintains a factory or establishment that produces, on the premises, the materials, supplies, articles, or equipment required under the contract and of the general character described by the specifications.

   b. **Regular Dealer.** If the materials or supplies are purchased from a DBE regular dealer, count 60 percent of the cost of the materials or supplies. A regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business.

      (1) To be a regular dealer a firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question.

c. A Person may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business, as provided in this Section (4)(b), if the Person both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment shall be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis. The cost of the materials and supplies themselves shall be counted.

5. Purchases from a DBE. With respect to materials or supplies purchased from a DBE who is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, provided the fees are reasonable and typical for the services rendered. No part of the cost of the materials and supplies themselves shall be counted.

5.7 Disadvantaged Business Enterprise and Other Small Business Participation

The County encourages Contractors to carry out the following steps to facilitate DBE and other small business participation, which may be either on a direct basis in response to this solicitation or as a Subcontractor to a bidder.

A. Solicit through all reasonable and available means (e.g., attendance at pre-bid meetings, advertising and/or written notices) DBE and other small businesses that have the capability to perform the Work of the Contract.

B. Select portions of the Work to be performed by Subcontractors to increase the likelihood that DBE and other small businesses' goals will be achieved

C. Provide interested Subcontractors with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.

D. Negotiate in good faith with interested DBEs and other small businesses.

E. Avoid rejecting DBEs and other small businesses as being unqualified without sound reasons based on a thorough investigation of their capabilities. The Contractor's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the Contractor's efforts to obtain DBE and other small business participation.

F. Make efforts to assist interested DBEs and other small businesses in obtaining bonding, lines of credit, or insurance as required by the recipient or Contractor.

G. Make efforts to assist interested DBEs and other small businesses in obtaining necessary equipment, supplies, materials, or related assistance or services.

H. Effectively use the services of available minority/women community organizations, Contractors' groups, local, state, and Federal minority/women business assistance offices; Disadvantaged Business Enterprise and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs and other small businesses.

5.8 Audit and Inspection of Records

In the case of all negotiated Contracts and Contracts for construction, reconstruction or improvement of facilities and equipment, which were entered into under other than competitive proposal procedures, Contractor agrees that the County, the Comptroller General of the United States or any of their duly authorized representatives, shall, for the purpose of audit and examination be permitted to inspect and reproduce as needed all work, materials, payrolls, and other data and records with regard to the project, and to audit the books, records and accounts with regard to the project. Further, Contractor agrees to maintain all required records for at least three (3) years after the County makes final payment and all other pending matters are closed.

Ref: 49 USC § 5325(g); 18 CFR Part 18.36 (i); 49 CFR Part 633.17; and FTA Master Agreement MA (16), 10-1-2009, Section 8 (c) and (d).

5.9 Privacy

Should the Contractor, or any of its Subcontractors, or their employees administer any system of records on behalf of the Federal Government, the Privacy Act of 1974, 5 USC § 552a, imposes information restrictions on the party administering the system of records.

For purposes of the Privacy Act, when the Agreement involves the operation of a system of records on individuals to accomplish a government function, the recipient and any Contractors, third party Contractors, Subcontractors and their employees involved therein are considered to be government employees with respect to the government function. The requirements of the Act, including the civil and criminal penalties for violations of the Act, apply to those individuals involved. Failure to comply with the terms of the Act or this provision of this Contract shall make this Contract subject to termination.

The Contractor agrees to include this clause in all subcontracts awarded under this Contract that involve the design, development, operation, or maintenance of any system of records on individuals subject to the Act.

5.10 Access Requirements for Individuals with Disabilities

The County and the Contractor are required to comply with all applicable requirements of the Americans with Disabilities Act of 1990 (ADA), 42 USC §§ 12101, et seq.; Section 504 of the Rehabilitation Act of 1973, as amended, 29 USC § 794; and 49 USC § 5301(d), and the following regulations and any amendments thereto:

A. U.S. Department of Transportation regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37;

B. U.S. Department of Transportation regulations, "Nondiscrimination on the Basis of Disability in Programs and Activities receiving from Federal Financial Assistance," 49 CFR Part 27;

C. U.S. Department of Transportation regulations, "Americans With Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 49 CFR Part 38;

D. U.S. Department of Justice (DOJ) regulations, "Nondiscrimination on the Basis of Disability in State and Local Government Services," 28 CFR Part 35;

E. U.S. Department of Justice regulations, "Nondiscrimination on the Basis of Disability by Public Accommodations and in Commercial Facilities," 28 CFR Part 36;

F. U.S. General Services Administration regulations, "Construction and Alteration of Public Buildings," 41 CFR Subpart 101-19;

G. U.S. Equal Employment Opportunity Commission (EEOC) "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 CFR Part 1630;

H. U.S. Federal Communications Commission regulations, "Telecommunications Relay Services and Related Customer Premises Equipment for the Hearing and Speech Disabled," 47 CFR Part 64, Subpart F; and

I. FTA regulations, "Transportation for Elderly and Handicapped Persons," 49 CFR Part 609.

5.11 Interest of Members of or Delegates of Congress

Pursuant to 41 USC § 22, no member of or delegate to the Congress of the United States shall be admitted to any share or part of this Contract or to any benefit arising there from.

5.12 Certification Regarding Debarment, Suspension and Other Responsibility Matters

This Contract is a covered transaction for purposes of 2 CFR part 1200. As such, the Contractor is required to verify that none of the Contractor, its principals, as defined at 49 CFR 29.995, or affiliates, as defined at 49 CFR 29.905, are excluded or disqualified as defined at 49 CFR 29.940 and 29.945.

The Contractor is required to comply with 2 CFR 1200, Subpart C and must include the requirement to comply with 2 CFR 1200, Subpart C in any lower tier covered transaction it enters into.

By signing and submitting this Contract, the Contractor certifies as follows:

The certification in this clause is a material representation of fact relied upon by King County. If it is later determined that the Contractor knowingly rendered an erroneous certification, in addition to remedies available to King County, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The Contractor agrees to comply with the requirements of 2 CFR 1200, Subpart C while this offer is valid and throughout the period of any Contract that may arise from this Contract. The Contractor further agrees to include a provision requiring such compliance in its lower tier covered transactions.

5.13 Disclosure of Lobbying Activities

Contracts in excess of $100,000 require a Certificate of Lobbying Activities, to be completed and submitted to the County with the proposal, as required by 49 CFR Part 20, "New Restrictions on Lobbying."

The Contractor certifies that it shall not and has not used Federal appropriated funds to pay any Person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal Contract, grant or any other award covered by the Byrd Anti-Lobbying Amendment, 31 USC § 1352. The Contractor shall disclose the name of any registrant under the Lobbying Disclosure Act of 1995, codified at 2

USC § 1601 et seq., who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal Contract, grant or award covered by 31 USC § 1352. Such disclosures are to be forwarded to the County.

The Contractor shall include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of $100,000 shall certify and disclose accordingly.

Ref: 49 CFR Part 20, modified as necessary by 31 USC § 1352.

5.14 False or Fraudulent Statements or Claims

The Contractor acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the County in connection with this project, the County reserves the right to pursue the procedures and impose on the recipient the penalties of 18 USC § 1001, 31 USC §§ 3729 and 3801 et seq., and/or 49 USC § 5307(k)(1), as may be appropriate. The terms of Department of Transportation regulations, "Program Fraud Civil Remedies," 49 CFR Part 31, are applicable to this project.

The Contractor agrees to include this clause in all subcontracts awarded under this Contract.

5.15 Energy Conservation

The Contractor agrees to comply with mandatory standards and policies relating to energy efficiency that are contained in the State Energy Conservation plan issued in compliance with the Energy Policy and Conservation Act, 42 USC §§ 6321 et seq., and 49 CFR Part 18.

The Contractor agrees to include this clause in all subcontracts awarded under this Contract.

5.16 Air Pollution

The Contractor and suppliers may be required to submit evidence to the Project Manager that the governing air pollution criteria shall be met. This evidence and related documents shall be retained by the manager for on-site examination by FTA.

5.17 Environmental Requirements

The Contractor agrees to comply with all applicable standards, orders or requirements as follows:

A. Environmental Protection

The Contractor agrees to comply with the applicable requirements and implementing regulations of the National Environmental Policy Act of 1969, as amended, 42 USC §§ 4321, *et seq.*, consistent with Executive Order No. 11514, as amended, "Protection and Enhancement of Environmental Quality," 42 USC § 4321 note. FTA statutory requirements on environmental matters at 49 USC § 5324(b); Council on Environmental Quality regulations on compliance with the National Environmental Policy Act of 1969, as amended, 42 USC § 4321 *et seq.* and 40 CFR Part 1500, *et seq.*; and joint FHWA/FTA regulations, "Environmental Impact and Related Procedures," 23 CFR Part 771 and 49 CFR Part 622.

B. Air Quality

The Contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to 42 USC § 7606. The Contractor agrees to report each violation to the County and understands and agrees that the County shall, in turn, report each violation as required to assure notification to FTA and the appropriate Environmental Protection Agency (EPA) Regional Office.

The Contractor agrees to include this clause in each subcontract exceeding $100,000 financed in whole or in part with Federal assistance provided by FTA.

Ref: 42 USC § 7606; 40 CFR Part 51, Subpart T; 40 CFR Part 85; 40 CFR Part 86; and 40 CFR Part 600.

C. Clean Water

The Contractor agrees to comply with all applicable laws, regulations, and directives issued pursuant to the Federal Water Pollution Control Act, as amended, 33 USC §§ 1251-1377. The Contractor agrees to report each violation to the County and understands and agrees that the County shall, in turn, report each violation as required to assure notification to FTA and the appropriate Environmental Protection Agency (EPA) Regional Office.

The Contractor agrees to protect underground sources of drinking water consistent with the provisions of the Safe Drinking Water Act of 1974, as amended, 42 USC §§ 300f through 300j-6.

The Contractor agrees to include these requirements in each subcontract exceeding $100,000 financed in whole or in part with Federal assistance provided by FTA.

Ref: 33 USC § 1251.

D. Use of Public Lands

The Contractor agrees that no publicly owned land from a park, recreation area, or wildlife or waterfowl refuge of national, state, or local significance as determined by the federal, state or local officials having jurisdiction thereof, or any land from a historic site of national, state, or local significance may be used unless the FTA makes the specific findings required by 49 USC § 303.

E. Historic Preservation

The Contractor agrees to assist the Federal Government in complying with section 106 of the National Historic Preservation Act, as amended, 16 USC § 470f, Executive Order No. 11593, "Protection and Enhancement of the Cultural Environment," 16 USC § 470 note, and the Archaeological and Historic Preservation Act of 1974, as amended, 16 USC §§ 469a-1 et seq. involving historic and archaeological preservation as follows:

1. The Contractor agrees to consult with the State Historic Preservation Officer about investigations to identify properties and resources listed in or eligible for inclusion in the National Register of Historic Places that may be affected by the Contract, in accordance with Advisory Council on Historic Preservation regulations, "Protection of Historic and Cultural Properties," 36 CFR Part 800, and notifying FTA of those properties so affected.

2. The Contractor agrees to comply with all federal requirements to avoid or mitigate adverse effects on those historic properties.

F. Mitigation of Adverse Environmental Effects

The Contractor agrees that if the Work should cause adverse environmental effects, the Contractor shall take all reasonable steps to minimize those effects in accordance with 49 USC § 5324(b), and all other applicable federal laws and regulations, specifically, the procedures of 23 CFR Part 771 and 49 CFR Part 622, 23 CFR 774.

G. Wild and Scenic Rivers

The Contractor agrees to comply with applicable provisions of the Wild and Scenic Rivers Act of 1968, as amended, 16 USC §§ 1271 -1287, relating to protecting components of the national wild and scenic river system, with applicable implementing U.S. Forest Service regulations, "Wild and Scenic Rivers," 36 CFR Part 297, and with applicable implementing U.S. Bureau of Land Management regulations, "Management Areas," 43 CFR 8350.

5.18 Preference for Recycled Products

To the extent practicable and economically feasible, the Contractor agrees to Provide a competitive preference for products and services that conserve natural resources and protect the environment and are energy efficient. Examples of such products may include, but are not limited to, products described in the United States EPA Guidelines at 40 CFR Part 247, implementing section 6002 of the Resource Conservation and Recovery Act, as amended, 42 USC § 6962.

5.19 Patent Rights

If any invention, improvement, or discovery of the Contractor or any of its Subcontractors is conceived or first actually reduced to practice in the course of or under this Contract, and that invention, improvement, or discovery is patentable under the laws of the United States or any foreign country, the Contractor agrees to notify the County immediately and Provide a detailed report in a format satisfactory to the FTA. The rights and responsibilities of the Contractor and the County with respect to such invention, improvement or discovery shall be determined in accordance with applicable federal laws, regulations, policies, and any waiver thereof.

Unless the Federal Government later makes a contrary determination in writing, irrespective of the Contractor's status (i.e., a large business, small business, state government or state instrumentality, local government, nonprofit organization, academic institution, individual), the County and the Contractor agree to take the necessary actions to Provide, through FTA, those rights in that invention due the Federal Government as described in U.S. Department of Commerce regulations, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," 37 CFR Part 401.

The Contractor also agrees to include the requirements of this section in each subcontract for experimental, developmental, or research Work financed in whole or in part with Federal assistance Provided by FTA.

FTA considers income earned from license fees and royalties for patents, patent applications, and inventions produced under the Contract to be program income. Except to the extent FTA determines otherwise in writing, as provided in 49 CFR Parts 18 and 19, Contractor has no obligation to the Federal Government with respect to that program income, apart from compliance with 35 USC §§ 200 *et seq.*, which applies to patent rights developed under a research project.

Ref: 49 CFR Parts 18 and 19, 37 CFR Part 401,USC §§ 200 *et seq*

5.20 Rights in Data and Copyrights

Contractor may not publish or reproduce subject data in whole or in part, or in any manner or form, nor may the Recipient authorize others to do so, without the prior written consent of the Federal Government, unless the Federal Government has previously released or approved the release of such data to the public.

A. Federal Rights in Data and Copyrights

The Contractor agrees to provide to the Federal Government a royalty-free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use, for Federal Government purposes the subject data described in this subsection.

B. License Fees and Royalties.

FTA considers income earned from license fees and royalties for copyrighted material, or trademarks produced under the Work to be program income. Except to the extent FTA determines otherwise in writing, as provided in 49 CFR Parts 18 and 19, the Contractor has no obligation to the Federal Government with respect to that program income, apart from compliance with 35 USC §§ 200 *et seq.*, which applies to patent rights developed under a research project.

C. Restrictions on Access to Patent Rights

Nothing in this subsection pertaining to rights in data shall either imply a license to the Federal Government under any patent or be construed to affect the scope of any license or other right otherwise granted to the Federal Government under any patent.

D. Data Developed Without Federal Funding or Support

In connection with the Contract, the Contractor may find it necessary to provide data to the County developed without any Federal funding or support by the Federal Government. The requirements of Federal Rights in Data and Copyrights do not apply to data developed without Federal funding or support by the Federal Government, even though that data may have been used in connection with this Contract. Nevertheless, Contractor understands and agrees that the Federal Government will not be able to protect data from unauthorized disclosure unless that data is clearly marked "Proprietary" or "Confidential."

5.21 Termination Provisions Required

All Contracts and subcontracts in excess of $10,000 shall contain contractual provisions or conditions that allow for termination for cause and convenience by the County including the manner by which it shall be effected and the basis for settlement.

Ref: FTA Circular 4220.1F § IV.2.b.

5.22 Breach Provisions Required

All Contracts in excess of $100,000 shall contain contractual provisions or conditions that shall allow for administrative, contractual, or legal remedies in instances where the Contractor violates or breaches the terms of this Contract, including sanctions and penalties as may be appropriate. The Contractor agrees to include this provisional requirement in all subcontracts in excess of $100,000 awarded under this Contract.

Ref: FTA Circular 4220.1F, § IV.2.B

5.23 Substance Abuse

Contractor and its subcontractors agrees to comply with U.S. OMB Guidance, "Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)," 2 CFR Part 182, and U.S. DOT regulations, "Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)," 49 CFR Part 32, that implement the Drug-Free Workplace Act of 1988, 41 USC §§ 701 *et seq.*, including any amendments to these U.S. DOT regulations when they are promulgated, and "Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations," 49 CFR Part 655, that implement 49 USC § 5331.

SECTION 6 Federal and State Contracting Provisions

It is the Contractor's responsibility to comply with all state and federal law in performing the tasks undertaken with respect to this Contract. As applicable and required by Federal and State Law, the following provisions and references are included in this contract:

6.1 Equal Employment Opportunity

All contracts shall contain a provision requiring compliance with E.O. 11246, "Equal Employment Opportunity," as amended by E.O. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and as supplemented by regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

6.2 Copeland "Anti-Kickback" Act (18 U.S.C. 874 and 40 U.S.C. 276c)

All contracts and subcontracts in excess of $2,000 for construction or repair awarded by recipients and subrecipients shall include a provision for compliance with the Copeland "Anti-Kickback" Act (18 U.S.C. 874), as supplemented by Department of Labor regulations (29 CFR part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient shall be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he is otherwise entitled. The recipient shall report all suspected or reported violations to the Federal awarding agency.

6.3 Contract Work Hours and Safety Standards Act (40 U.S.C 327-333)

Where applicable, all contracts awarded by recipients in excess of $2,000 for construction contracts and in excess of $2,500 for other contracts that involve the employment of mechanics or laborers shall include a provision for compliance with Sections 102 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), as supplemented by Department of Labor regulations (29 CFR part 5). Under Section 102 of the Act, each contractor shall be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than 1 ½ times the basic rate of pay for all hours worked in excess of 40 hours in the work week. Section 107 of the Act is applicable to construction work and provides that no laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

6.4 Rights to Inventions Made Under a Contract or Agreement

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

6.5 Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended

Contractors and subcontracts of amounts in excess of $100,000 shall contain a provision that requires the recipient to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 et seq.) Violations shall be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

6.6 Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)

Contractors who are awarded contracts of $100,000 or more shall file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose any lobbying in non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient.

6.7 Public Law 88-352, Title VI of the Civil Rights Act of 1964(42 U.S.C. 2000d et seq.) (24 CFR Part 1)

The Contractor must comply with the provisions of "Public Law 88-352," which refers to Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.). The law provides that no person in the United States shall, on the grounds of race, color or national origin, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity receiving federal financial assistance.

6.8 Section 504 of the Rehabilitation Act, 1973, as Amended (29 U.S.C. 794)

The Contractor must comply with Section 504 of the Rehabilitation Act of 1973, as amended, which provides that no otherwise qualified individual shall, solely by reason of his or her disability, be excluded from participation (including employment), denied program benefits or be subjected to discrimination under any program or activity receiving federal assistance funds.

6.9 Americans With Disabilities Act (42 U.S.C. 12101, et seq.)

The Contractor shall comply with the provisions of the Americans with Disabilities Act, 42 U.S.C. 12101, et. seq. That Act provides a comprehensive national mandate to eliminate discrimination against individuals with disabilities. The Act may impose requirements on the Contractor in four principle ways: 1) with respect to employment; 2) with respect to the provision of public services; 3) with respect to transportation; 4) with respect to existing facilities and new construction.

6.10 The National Environmental Policy Act of 1969 (NEPA) (42 U.S.C Section 4321 et seq., and 24 CFR Part 58)

The Contractor shall comply with the provisions of the National Environmental Policy Act of 1969. The purpose of this Act is to attain the widest use of the environment without degradation, risk to health or safety, or other undesirable and unintended consequences.

Environmental review procedures, including determining and publishing a Finding of Significance or of No Significance for a proposal, are a necessary part of this process. Pursuant to these provisions, the Contractor must also submit environmental certifications to King County when requesting that funds be released for the project. The Contractor must certify that the proposed project will not significantly impact the environment and that the Contractor has complied with environmental regulations and fulfilled its obligations to give public notice of the funding request, environmental findings and compliance performance.

6.11 Executive Order 11990, May 24, 1977: Protection of Wetlands (42 F.R. 26961 et seq.)

The Contractor shall comply with Executive Order 11990. The intent of this Executive Order is (1) to avoid, to the extent possible, adverse impacts associated with the destruction or modification of wetland, and (2) to avoid direct or indirect support of new construction in wetlands wherever there is a practical alternative.

The Contractor, to the extent permitted by law, must avoid undertaking or providing assistance for new construction located in wetlands unless (1) there is no practical alternative to such construction, and (2) the proposed action includes all practical measures to minimize harm to wetlands which may result from such use. In making this determination, the Contractor may take into account economic, environmental and other pertinent factors.

6.12 Executive Order 11988, May 24, 1977: Floodplain Management (42 F.R. 26951 et seq)

The Contractor shall comply with the provisions of Executive Order 11988. The intent of this Executive Order is to (1) avoid, to the extent possible, adverse impacts associated with the occupancy and modification of floodplains, and (2) avoid direct or indirect support of floodplain development wherever there is a practical alternative. If the Contractor proposes to conduct, support or allow an action to be located in a floodplain, the Contractor must consider alternatives to avoid adverse effects and incompatible involvement in the floodplain. If siting in a floodplain is the only practical alternative, the Contractor must, prior to taking any action (1) design or modify its actions in order to minimize any potential harm to the floodplain, and (2) prepare and circulate a notice containing an explanation of why the action is proposed to be located in a floodplain.

6.13 The Wild and Scenic Rivers Act Of 1968, as Amended (16 U.S.C. 1271 et seq.)

The Contractor shall comply with the Wild and Scenic Rivers Act. The purpose of this Act is to preserve selected rivers or sections of rivers in their free-flowing condition, to protect the water quality of such rivers and to fulfill other vital national conservation goals. Federal assistance by loan, grant, license, or other mechanism cannot be provided to water resources construction projects that would have a direct and adverse effect on any river included or designated for study or inclusion in the National Wild and Scenic River System.

6.14 Coastal Zone Management Act of 1972, as Amended (16 U.S.C. 1451 et seq.)

The Contractor shall comply with the Coastal Zone Management Act of 1972, as amended. The intent of this Act is to preserve, protect, develop, and where possible, restore or enhance the resources of the nation's coastal zone. Federal agencies cannot approve assistance for proposed projects that are inconsistent with the state's Coastal Zone Management program except upon a finding by the U.S. Secretary of Commerce that such a project is consistent with the purpose of this chapter or necessary in the interests of national security.

6.15 The Endangered Species Act of 1973, as Amended (16 U.S.C. 1531 et seq.)

The Contractor shall comply with the Endangered Species Act of 1973, as amended. The intent of this Act is to ensure that all federally assisted projects seek to preserve endangered or threatened species. Federally authorized and funded projects must not jeopardize the continued existence of endangered and threatened species or result in the destruction of or modification of habitat of such species which is determined by the U.S. Department of the Interior, after consultation with the state, to be critical.

6.16 The Reservoir Salvage Act of 1960, as Amended by the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469 et seq.)

Under the Reservoir Salvage Act, the Contractor must comply with provisions for the preservation of historical and archaeological data (including relics and specimens) that might otherwise be irreparably lost or destroyed as a result of any alteration of the terrain caused as a result of any federal construction project or federally licensed activity or program. Whenever the Contractor finds, or is notified in writing by an appropriate historical or archaeological authority, that its activities in connection with any federal funded construction project or federally licensed project, activity or program may cause irreparable loss or destruction of significant scientific, prehistoric, historical or archaeological data, the Contractor must stop work immediately and must notify the U.S. Secretary of Interior and the Department in writing and provide appropriate information concerning the project or program activity.

6.17 The Archaeological and Historical Data Preservation Act of 1974 (16 U.S.C. 469 a-1 et seq.)

The Contractor shall comply with the Archaeological and Historical Data Preservation Act, which provides for the preservation of historic and archaeological information that would be lost due to development and construction activities as a result of federally funded activities.

6.18 The Safe Drinking Water Act of 1974, As Amended (42 U.S.C. Section 201, 300(f) et seq., and U.S.C. Section 349)

The Contractor must comply with the Safe Drinking Water Act, as amended, which is intended to protect underground sources of water. No commitment for federal financial assistance, according to this Act, shall be entered into for any project, which the U.S. Environmental Protection Agency determines, may contaminate an aquifer that is the sole or principal drinking water source for an area.

6.19 The Federal Water Pollution Control Act of 1972, as Amended, Including the Clear Water Act of 1977, Public Law 92-212 (33 U.S.C. Section 1251 et seq.)

The Contractor must assure compliance with the Water Pollution Control Act, as amended, which provides for the restoration of chemical, physical and biological integrity of the nation's water.

6.20 The Solid Waste Disposal Act, as Amended by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. Section 6901 et seq.)

The Contractor must assure compliance with the Solid Waste Disposal Act, as amended. The purpose of this Act is to promote the protection of health and the environment and to conserve valuable material and energy resources.

6.21 The Fish and Wildlife Coordination Act of 1958, as Amended (16 U.S.C. Section 661 et seq.)

The Contractor must assure compliance with the Fish and Wildlife Coordination Act, as amended. The Act assures that wildlife conservation receives equal consideration and is coordinated with other features of water resources development programs.

6.22 Relocation Assistance and Real Property Acquisition Policy, Chapter 8.26 RCW

The Contractor shall comply with the provisions of Chapter 8.26 RCW and Chapter 365-24 WAC when its activities involve any acquisition of real property assisted under this Agreement or the displacement of any family, individual, business, nonprofit organization or farm that results from such acquisition.

6.23 State Environmental Policy Act (SEPA), Chapter 43.21 (C) RCW

The Contractor shall comply with the provisions of Chapter 43.21(C) RCW and Chapter 197-11 WAC, the guidelines by which local agencies will (1) require environmental checklists from private and public entities considering an action potentially subject to the Environmental Impact Statement (EIS) requirement of SEPA, (2) make "threshold determinations" that such an action will not have a significant environmental impact, (3) provide for the preparation of a draft and final EIS if the action has significant impact, and (4) circulate the EIS to other agencies and interested parties.

6.24 Noise Control, Chapter 70.107 RCW

The Contractor shall assure compliance with the state Noise Control Act. Objectives of the Act are to assist local governments in implementing local noise ordinances and to control and reduce excessive noise in Washington.

6.25 Shoreline Management Act Of 1971, Chapter 90.58 RCW

The Contractor shall comply with the provisions of Chapter 90.58 RCW. This Act defines a planning program and a permit system, which are initiated at the local government level under state guidance. Its purpose is to protect and enhance the state's shoreline and it includes a comprehensive shoreline inventory process and a master program for regulation of shoreline uses. A permit application at the local level must be in compliance with those plans and consistent with the state Coastal Zone Management program if substantial developments and shoreline modifications occur and a record of the application and decision must be submitted to the state.

6.26 State Building Code, Chapter 19.27 RCW; Energy Related Building Standards, Chapter 19.27A RCW; and Provisions in Buildings for Aged and Handicapped Persons, Chapter 70.92 RCW

The Contractor shall comply with the provisions of Chapter 19.27 RCW, Chapter 19.27A RCW, Chapter 70.92 RCW and the regulations for building construction and for barrier free facilities adopted by the Washington State Building Code Council pursuant to these statutes.

The State Building Code Act provides for a uniform state building code and mandates counties, cities and towns to administer and enforce its provisions. Local governments are authorized to modify the state building code to fit local conditions as long as such modifications do not result in a code that is less than the minimum performance standards and objectives contained in the state code.

6.27 Open Public Meetings Act, Chapter 42.30 RCW

The Contractor shall comply with provisions of Chapter 42.30 RCW which require that all meetings of the governing body which pertain to this Agreement shall be open to the public except those where specific provision is made for executive sessions pursuant to RCW 42.30.110.

6.28 Law Against Discrimination, Chapter 49.60 RCW

The Contractor shall comply with the provisions of Chapter 49.60 RCW in all activities relating to this Agreement.

6.29 Governor's Executive Order 89-10, December 11, 1989: Protection Of Wetlands, and Governor's Executive Order 90-04, April 21, 1990: Protection of Wetlands

The Contractor shall ensure that it avoids any activities that would adversely affect wetlands and adequately mitigates unavoidable impacts. For the purposes of this requirement, except where a contrary definition is provided by statute, mitigation means: (1) avoiding the impact altogether by not taking certain action or part of an action; (2) minimizing impacts by limiting the degree or magnitude of the action and its implementation, by using appropriate technology, or by taking affirmative steps to avoid or reduce impacts; (3) rectifying the impact by repairing, rehabilitating, or restoring the affected environment; (4) reducing or eliminating the impact over time by preservation and maintenance operations during the life of the action; (5) compensating for the impact by replacing, enhancing, or providing substitute resources or environments; and (6) monitoring the impact and taking appropriate corrective measures.

Mitigation for individual actions may include a combination of the above measures. Mitigation may not include any of the above measures to the extent that they may be contrary to statute as applied under the particular circumstances. Emergency work that is essential to save lives and protect property and public health is exempt from these provisions.

6.30 Certification Regarding Debarment, Suspension and Other Responsibility Matters

This Contract is a covered transaction for purposes of 49 CFR Part 29. As such, the Contractor is required to verify that none of the Contractor, its principals, as defined at 49 CFR 29.995, or affiliates, as defined at 49 CFR 29.905, are excluded or disqualified as defined at 49 CFR 29.940 and 29.945.

The Contractor is required to comply with 49 CFR 29, Subpart C and must include the requirement to comply with 49 CFR 29, Subpart C in any lower tier covered transaction it enters into.

By accepting this Contract, the Contractor certifies as follows:

The certification in this clause is a material representation of fact relied upon by King County. If it is later determined that the Contractor knowingly rendered an erroneous certification, in addition to remedies available to King County, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The Contractor agrees to comply with the requirements of 49 CFR 29, Subpart C while this offer is valid and throughout the period of any Contract that may arise from this Contract. The Contractor further agrees to include a provision requiring such compliance in its lower tier covered transactions.

SECTION 7 Technical Specifications

7.1 Introduction of Specifications

This Contract is for the supply and delivery of elevator / escalator inspection and assessment services on an as-needed basis in accordance with the terms conditions, and specifications defined herein during the term of the contract. Maintenance, repairs and installation shall not be performed under this Contract.

7.2 General

The Inspection services to be provided shall be divided into two (2) separate sections. One section shall consist of all necessary services required to perform the required inspections and witness required testing. The second section shall consist of services for miscellaneous needs as required.

A. Inspections and Testing

1. King County Department of Transportation Transit Division's Power & Facilities will designate a representative percentage of Transit's conveyances to be inspected annually as part of a comprehensive asset management strategy. Another major component of Transit Power & Facilities scope of work is escalator inspection services and quality assurance for upcoming major repairs that will be scheduled over the next two (2) to three (3) years. This will include site visits prior to; during and after completion of major repairs. The County will also require the Contractor to be on site to observe, monitor, and inspect the re-commissioning of newly repaired escalators.

B. Miscellaneous Services

Miscellaneous services shall include, but are not limited to: accident reconstruction and investigation, repair estimates, billing reviews, condition surveys, contract management, contract review, equipment surveys, expert witness, complaint investigation, loss control, performance evaluations, re-inspections, safety, training, ADA surveys and accessibility requirements, and miscellaneous email / telephone consultations.

7.3 Hours of Operations

All work performed under this Contract shall be performed during County core working hours. Core working hours are Monday through Friday 7:00 a.m. through 4:30 p.m. Pacific Time. Any exceptions to this rule shall be approved, in advance, jointly by the Contractor and the County Project Manager (PM).

7.4 Schedule of Equipment

A. Escalators

The following is a list of the Downtown Seattle Transit Tunnel Station (DSTT) escalators.

1. Convention Place Station (CPS)
   9th Ave & Pine ST
   Seattle, WA 98101

CPS Metro ID	Normal Direction	KONE CE No.	Escalator Length	Rise	Number of Steps	Step Width	HP	Drive
ESC-101	Up	59142	52	23' .50"	90	40"	20	Single
ESC-102	Up	59140	51	22' .67"	87	24"	10	Single
ESC-103	Up	59139	51	22' .67"	87	24"	10	Single
ESC-104	Up	59141	51	22' .67"	87	24"	10	Single

2. West Lake Station (WLS)
   5th Ave & Pine ST
   Seattle, WA 98101

WLS Metro ID	Normal Direction	KONE CE #	Escalator Length	Rise	Number of Steps	Step Width	HP	Drive
ESC-301	Up	59148	53	23' .82"	91	40"	20	Single
ESC-302	Up	59149	53	23' .82"	91	40"	20	Single
ESC-303	Down	59150	53	24' .03"	91	40"	20	Single
ESC-304	UP	59151	53	24' .03"	91	40"	20	Single
ESC-305	Down	59152	23	11' .48"	54	40"	10	Single
ESC-306	Up	59153	23	11' .48"	54	40"	10	Single
ESC-307	Down	59155	48	17' .83"	72	40"	15	Single
ESC-308	Up	59154	48	17' .83"	72	40"	15	Single
ESC-309	Up	59157	23	8' .60"	45	40"	10	Single
ESC-310	Down	59156	23	8' .60"	45	40"	10	Single
ESC-311	Up	59159	48	20' .83"	82	40"	15	Single
ESC-312	Down	59160	48	20' .83"	82	40"	15	Single
ESC-313	Up	59161	18	5' .77"	37	40"	10	Single
ESC-314	Down	59158	18	5' .77"	37	40"	10	Single

WLS Metro ID	Normal Direction	KONE CE #	Escalator Length	Rise	Number of Steps	Step Width	HP	Drive
ESC-315	Up	59163	36	15' .24"	65	40"	15	Single
ESC-316	Down	59162	36	15' .24"	65	40"	20	Single

3. University Street Station (USS)
   3rd Ave & University ST
   Seattle, WA 98101

USS Metro ID	Normal Direction	KONE CE #	Escalator Length	Rise	Number of Steps	Step Width	HP	Drive
ESC-501	Up	59179	46'	20' .18"	80	40"	15	Single
ESC-502	Up	59180	46'	20' .18"	80	40"	15	Single
ESC-503	Up	59181	45'	19' .22"	78	40"	15	Single
ESC-504	Up	59182	45'	19' .22"	78	40"	15	Single
ESC-505	Up	59183	76'	35' .81"	127	40"	2 @ 15	Dual
ESC-506	Down	59184	76'	35' .81"	127	40"	2 @ 15	Dual
ESC-511	Up	59176	75'	34' .76"	124	40"	2 @ 15	Dual
ESC-512	Down	59177	75'	34' .76"	124	40"	2 @ 15	Dual

4. Pioneer Square Station (PSS)
   3rd Ave & James ST
   Seattle, WA 98104

PSS Metro ID	Normal Direction	KONE CE No.	Escalator Length	Rise	Number of Steps	Step Width	HP	Drive
ESC-701	Up	59185	47'	20' .34"	80	40"	15	Single
ESC-702	Up	59186	47'	20' .34"	80	40"	15	Single
ESC-703	Up	59187	45'	19' .08"	77	40"	15	Single
ESC-704	Up	59188	45'	19' .08"	77	40"	15	Single
ESC-705	Up	59190	89'	41' .85"	145	24"	20	Single
ESC-706	Down	59189	89'	41' .85"	145	24"	20	Single
ESC-707	Up	59191	55'	24' .75"	93	24"	15	Single
ESC-709*	Up	20232807	45'		82			

*Escalator 709 was rebuilt in 2007. It is not part of this project.

5. International District Station (IDS)
5th Ave & S. Jackson ST
Seattle, WA 98104

IDS Metro ID	Normal Direction	KONE CE No.	Escalator Length	Rise	Number of Steps	Step Width	HP	Drive
ESC-901	Up	59194	49'	24' .17"	92	40"	20	Single
ESC-902	Up	59195	49	23' .03"	88	40"	15	Single
ESC-903	Up	59196	51	23' .42"	90	40"	20	Single
ESC-904	Up	59197	51	22' .61"	87	40"	15	Single

B. Elevators

1. Convention Place Station9th Ave & Pine ST
Seattle, WA 98101

a. Cab #1

Conveyance Number	7194
Metro ID	ELE-101
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

b. Cab #2

Conveyance Number	7195
Metro ID	ELE-102
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

c. Cab #3

Conveyance Number	7196
Metro ID	ELE-103
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

d. Cab #4

Conveyance Number	7197
Metro ID	ELE-104
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

2. Westlake Station
   5th Ave & Pine ST
   Seattle, WA 98101

   a. Cab #1

Conveyance Number	7010
Metro ID	ELE-301
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

b. Cab #2

Conveyance Number	7011
Metro ID	ELE-302
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

c. Cab #3

Conveyance Number	7012
Metro ID	ELE-303
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

d. Cab #4

Conveyance Number	7013
Metro ID	ELE-304
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

e. Cab #5

Conveyance Number	7014
Metro ID	ELE-305
Type	PH (**contain Front and Rear doors)**
Capacity	2500 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 3 stories

f. Cab #6

Conveyance Number	7015
Metro ID	ELE-306
Type	PH (contain Front and Rear doors)
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 3 stories

g. Cab #7

Conveyance Number	7016
Metro ID	ELE-307
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

3. University Street Station
   3rd Ave & University ST
   Seattle, WA 98101

   a. Cab #1

Conveyance Number	6807
Metro ID	ELE-506
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

   b. Cab #2

Conveyance Number	7209
Metro ID	ELE-501
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

   c. Cab #3

Conveyance Number	7210
Metro ID	ELE-502
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

d. Cab #4

Conveyance Number	7211
Metro ID	ELE-503
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

e. Cab #5

Conveyance Number	7212
Metro ID	ELE-504
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

4. Pioneer Square Station
   3rd Ave & James ST
   Seattle, WA 98104

   a. Cab #1

Conveyance Number	7111
Metro ID	ELE-701
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

b. Cab #2

Conveyance Number	7112
Metro ID	ELE-702
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

c. Cab #3

Conveyance Number	7113
Metro ID	ELE-703
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

d. Cab #4

Conveyance Number	7114
Metro ID	ELE-704
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

e. Cab #5

Conveyance Number	7115
Metro ID	ELE-705
Type	PH
Capacity	2,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

f. Cab #6

Conveyance Number	7116
Metro ID	ELE-706
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

5. International District Station
   5th Ave & S. Jackson ST
   Seattle, WA 98104

   a. Cab #1

Conveyance Number	7164
Metro ID	ELE-902
Type	PH
Capacity	2,500 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

b. Cab #2

Conveyance Number	7165
Metro ID	ELE-901
Type	PH
Capacity	2,500 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

c. Cab #3

Conveyance Number	7166
Metro ID	ELE-903
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

d. Cab #4

Conveyance Number	7167
Metro ID	ELE-904
Type	PH
Capacity	3,000 lbs
Manufacturer	Montgomery Hydraulic Elevators
Date Placed in Service	1989
No. Openings / Stories	1 opening, 2 stories

6. Atlantic Base Maintenance
1555 Airport Way S
Seattle, WA 98134

a. Cab #1

Conveyance Number	06008FH
Existing Kone Building No.	5173803
Type	FH
Capacity	4000 lbs
Number of Stories	2
Manufacturer	US Elevator
Date Placed in Service	1981
Emergency Phone rings to	No phone, this is a freight elevator

b. Cab #2

Conveyance Number	19092 PH
Existing Kone Building No.	5173803
Type	PH
Capacity	2100 lbs
Number of Stories	2
Manufacturer	ThyssenKrupp
Date Placed in Service	2007
Emergency Phone rings to	Security Office in the EMPG

7. Atlantic/Central Operations
1270 6th Ave South
Seattle, WA 98134

Conveyance Number	07256PH
Existing Kone Building No.	5173779
Type	PH
Capacity	2100 lbs
Number of Stories	3
Manufacturer	US Elevator
Date Placed in Service	1989
Emergency Phone rings to	KONE

8. Bellevue Base
1790 124th Ave NE
Bellevue, WA 98005

Conveyance Number	04947PH
Existing Kone Building No.	5173780
Type	PH
Capacity	3000 lbs
Number of Stories	2
Manufacturer	Westinghouse
Date Placed in Service	1983 Rebuilt in 2007
Emergency Phone rings to	KONE

9. Central Base Maintenance
640 S Massachusetts
Seattle, WA 98134

a. Cab #1

Conveyance Number	07257PH
Existing Kone Building No.	5173778
Type	PH
Capacity	4000
Number of Stories	2
Manufacturer	US Elevator
Date Placed in Service	1985
Emergency Phone rings to	KONE

b. Cab #2

Conveyance Number	19091PH
Existing Kone Building No.	5173778
Type	PH
Capacity	2100
Number of Stories	2
Manufacturer	ThyssenKrupp
Date Placed in Service	2007
Emergency Phone rings to	Security Office in the EMPG

10. Component Supply Center
12200 E Marginal Way S
Tukwila, WA 98168

Conveyance Number	00845FH
Existing Kone Building No.	5173772
Type	FH
Capacity	4000 lbs
Number of Stories	2
Manufacturer	Otis
Date Placed in Service	1978
Emergency Phone rings to	No phone, this is a freight elevator

11. East Base Maintenance (Stores)
1975 124th NE
Bellevue, WA 98005

Conveyance Number	16736FH
Existing Kone Building No.	5173774
Type	FH
Capacity	4000 lbs
Number of Stories	2
Manufacturer	Thyssen Krupp
Date Placed in Service	2003
Emergency Phone rings to	KONE

12. East Base Operations
1975 124th NE
Bellevue, WA 98005

Conveyance Number	17314FH
Existing Kone Building No.	5173774
Type	FH
Capacity	4000 lbs
Number of Stories	2
Manufacturer	Thyssen Krupp
Date Placed in Service	2003
Emergency Phone rings to	KONE

13. Eastgate Parking Garage
14100 Eastgate Way SE
Bellevue, WA

a. Cab #1

Conveyance Number	16949PH
Existing Kone Building No.	5173775
Type	PH
Capacity	3500 lb
Number of Stories	5
Manufacturer	Thyssen Krupp
Date Placed in Service	2004
Emergency Phone rings to	KONE

b. Cab #2

Conveyance Number	16950PH
Existing Kone Building No.	5173775
Type	PH
Capacity	3500 lb
Number of Stories	5
Manufacturer	Thyssen Krupp
Date Placed in Service	2004
Emergency Phone rings to	KONE

14. Employee Parking Garage
1505 6th Ave S
Seattle, WA 98134

a. Cab #1

Conveyance Number	17723PH
Existing Kone Building No.	5173776
Type	PH
Capacity	3000 lb
Number of Stories	5
Manufacturer	Schindler Elevator
Date Placed in Service	2004
Emergency Phone rings to	Security Guards office

b. Cab #2

Conveyance Number	17724PH
Existing Kone Building No.	5173776
Type	PH
Capacity	3000lb
Number of Stories	5
Manufacturer	Schindler Elevator
Date Placed in Service	2004
Emergency Phone rings to	Security Guards office

c. Cab #3

Conveyance Number	17725PH
Existing Kone Building No.	5173776
Type	PH
Capacity	2500 lb
Number of Stories	2
Manufacturer	Schindler Elevator
Date Placed in Service	2004
Emergency Phone rings to	Security Guards office

15. Issaquah Highlands Garage
    1755 Highlands Dr. NE
    Issaquah WA 98027

    a. Cab#1

Conveyance Number	18498
Existing Kone Building No.	5173777
Type	PH
Capacity	3,500lbs
Number of Stories	5
Manufacturer	ThyssenKrupp
Date Placed in Service	1/2006
Emergency Phone rings to	Central Employee Garage

b. Cab #2

Conveyance Number	18497
Existing Kone Building No.	5173777
Type	PH
Capacity	3,500lbs
Number of Stories	5
Manufacturer	ThyssenKrupp
Date Placed in Service	Jan-06
Emergency Phone rings to	Central Employee Garage

16. North Base
North 165th & I-5 Transit only exit
Shoreline, WA 98133

Conveyance Number	07386PH
Existing Kone Building No.	5173781
Type	PH
Capacity	4000 lbs
Number of Stories	3
Manufacturer	Montgomery
Date Placed in Service	1990 - Rebuilt in 2006
Emergency Phone rings to	KONE

17. Non-Revenue Vehicle (NRV)
1301 Airport Way S
Seattle, WA 98134

Conveyance Number	10564PH
Existing Kone Building No.	5027545
Type	PH
Capacity	3000 lbs
Number of Stories	2
Manufacturer	Montgomery
Date Placed in Service	1995
Emergency Phone rings to	KONE

18. Power Distribution Administration
2255 4th Ave South
Seattle, WA 98134

Conveyance Number	18039PH
Existing Kone Building No.	5173801
Type	PH
Capacity	3500 lbs
Number of Stories	3
Manufacturer	Thyssen Krupp
Date Placed in Service	2005
Emergency Phone rings to	KONE

19. Power Distribution Stores
2255 4th Ave South
Seattle, WA 98134

Conveyance Number	18040FH
Existing Kone Building No.	5173801
Type	FH
Capacity	8000 lbs
Number of Stories	2
Manufacturer	Thyssen Krupp
Date Placed in Service	2005
Emergency Phone rings to	KONE

20. Redmond Garage
16201 NE 83rd ST
Redmond WA, 98052
    a. Cab #1

Conveyance Number	103967PH
Existing Kone Building No.	5198582
Type	PH
Capacity	2500 lbs
Number of Stories	3
Manufacturer	Schindler
Date Placed in Service	2009
Emergency Phone rings to	CBPG Security

b. Cab #2

Conveyance Number	103966PH
Existing Kone Building No.	5198582
Type	PH
Capacity	2500 lbs
Number of Stories	3
Manufacturer	Schindler
Date Placed in Service	2009
Emergency Phone rings to	CBPG Security

21. Ryerson Base
    1220 - 4th Ave South
    Seattle, WA 98134

Conveyance Number	Currently Under warranty
Existing Kone Building No.	5173802
Type	
Capacity	
Number of Stories	
Manufacturer	
Date Placed in Service	
Emergency Phone rings to	

22. South Base Maintenance
    12100 E Marginal Way S
    Tukwila, WA 98168

Conveyance Number	16676PH
Existing Kone Building No.	5175582
Type	PH
Capacity	3500 lbs
Number of Stories	2
Manufacturer	Otis
Date Placed in Service	2003
Emergency Phone rings to	KONE

23. South Base Operations
12100 E Marginal Way S
Tukwila, WA 98168

Conveyance Number	16632PH
Existing Kone Building No.	5175582
Type	PH
Capacity	2100 lbs
Number of Stories	2
Manufacturer	Otis
Date Placed in Service	2003
Emergency Phone rings to	KONE

7.5 Building / Conveyance Additions and Deletions

The County reserves the right to add or delete buildings and conveyances based on the existing Contract pricing "as needed" during the Contract term.

Minimum Requirements

7.6 Contractor Qualifications

King County will solely determine if the Contractor has the capability, capacity and skills necessary to provide the required services under this Contract.

A. To be eligible for award, Contractor shall be presently engaged in the survey and inspection of elevators and escalators. Contractor shall have a verifiable record of recent continuous, relevant work demonstrating satisfactory performance for a minimum of three (3) years immediately prior to the bid submittal date (please see Subsection 8.6 references). Contractor shall not be companies that are in the business of or affiliated with companies that are in the business of installation, service, repair or maintenance of vertical transportation systems or related equipment.

B. Contractor shall be licensed, registered and insured in the State of Washington and possess all permits, certifications, approvals, equipment necessary to perform and/or carry out the requirements of this ITB.

C. The Contractor shall be required to support and maintain sufficient personnel to meet the response requirements specified in Subsection 7.6. The Contract involves services which are necessary to perform critical King County services. Any delay could disrupt County services and force the County to immediately seek alternative sources of service.

1. Inspectors shall meet the definition of "elevator personnel" in ASME A17.1 Section 1.3 and have documented training and at least one (1) year of experience performing inspections and witnessing of tests as specified in ASME A17.1 and A18.1

2. All conveyance inspectors, at a minimum, shall be certified Qualified Elevator Inspectors (QEI).

D. The Contractor's business shall be open, available and accessible to the County a minimum of eight (8) consecutive hours anytime between 7:00 a.m. and 4:30 p.m. Pacific Time Monday through Friday.

7.7 Response Times

A. General

Work shall be conducted during the normal working hours (excluding nights, weekends, and Holidays) from 7:00 a.m. to 4:30 p.m. Pacific Time Monday through Friday unless authorized in writing by the designated County representative. Work other than for emergency purposes will be scheduled in advance with the designated King County representative.

1. Response Time

   Response time shall be defined as the amount of time between King County's initial contact with the Contractor or Contractor's designated answering service and the time the inspector arrives at the work site.

2. Contractor shall commence the specified work in sufficient time and pursue such work regularly, without any interruption, as to assure the completion of said work in a timely manner or as otherwise mutually agreed.

B. Initial Response

Contractor shall respond via a return telephone call within one (1) hour of the County's initial contact with the Contractor or Contractor's designated answering service.

C. Normal Inspection / Consulting Services

The Contractor shall respond to normal/routine requests within 24 hours of the initial request, unless otherwise authorized by the designated County representative.

D. Emergency Accident/Incident Investigations Services

1. The Contractor shall respond to an emergency call 24 hours a day, seven (7) days a week.
2. Work at any King County location shall begin no later than two (2) hours after the initial request for service unless otherwise directed by the designated County representative.

7.8 Condition Surveys

A. Elevator and Escalator Condition Surveys

1. Check Lists
   a. The Contractor shall create a pass/fail type checklist to be used during the inspection and condition surveys of each conveyance.
      (1) There shall be a separate checklist for elevators and for escalators.
      (2) The Contractor shall provide a standardized maintenance inspection check list. The checklist shall be accepted by the County prior to its use by the Contractor.

b. Create a post inspection check list.

All inspections and tests shall be made on ASME/ANSI checklist for inspections and test or an approved equivalent and shall be accompanied by a list of infractions and recommendations for corrections and improvements.

2. Provide a detailed condition survey of selected elevators and escalators when requested by Transit Power & Facilities (P & F), including a list of recommended component repairs, replacement or adjustments required.

3. Deficiencies

   a. The Contractor shall report in writing to the County Power and Facilities' representative the initial assessment and a list of any maintenance and repair deficiencies discovered during any survey of a conveyance.

   b. The Contractor shall determine if the deficiencies are due to the failure to perform scheduled or required maintenance or result from another reason.

   c. Contractor shall develop or review time and material costs for repair estimates.

   d. County Power and Facilities representatives will work with the County's maintenance and repair Contractor to remedy any reported deficiencies.

   e. The County may have the Contractor verify all required repairs have been satisfactorily completed.

4. Unsafe Conditions

   Whenever, during the course of any survey, inspection or test, the Contractor finds any serious deficiency in the safety features of the equipment or any other condition which in their judgment, renders the equipment unsafe, that equipment shall be immediately placed "**Out of Service**". The vendor shall notify the County's PM of such condition immediately after placing the equipment out of service.

5. Monitor quality of ongoing preventive maintenance and repair work.

6. Certify that work performed by the County's maintenance and repair Contractor is compliant with all applicable standards and local, State and Federal codes, laws and regulations.

7. Upon request, provide detailed analysis of accidents/incidents that may include, but not limited to, determining their causes and preventing a recurrence.

B. Escalator Inspections

Contractor shall provide inspection services before, during and after major escalator repairs and assist King County Transit Power and Facilities staff with the recommisioning of the repaired conveyances.

7.9 Annual Inspection and Condition Surveys

All inspections and tests shall be conducted in accordance with industry standards and applicable codes and regulations.

A. Maintenance and Repair Deficiencies

The Contractor shall identify any maintenance deficiencies.

1. County Power and Facilities representatives will work with the County's maintenance and repair Contractor to remedy any deficiencies.
2. The Contractor may be called back to verify all required repairs have been satisfactorily completed.

B. Hydraulic Elevators

The Inspection and Condition Survey shall be a complete and comprehensive on-site inspection and condition assessment including, but not be limited to:

1. Inside of Car

   Address the overall condition and operation of the Cab interior.

2. Elevator Machine Room

   The Contractor shall:

   a. Perform a complete inspection and condition assessment of all hydraulic, mechanical and electrical components.

      (1) The components shall be carefully inspected for deficiencies such as missing or broken equipment, excessive wear, corrosion, deterioration, proper alignment, leaks and loose fittings.

      (2) The Contractor shall also inspect the hydraulic tank condition and fluid level.

3. Top of Car

   The Contractor shall perform a complete inspection and condition assessment of the top of the car. The Contractor inspection should include top of car and equipment condition, hoistway condition and clearances.

4. Other

   The Contractor shall perform a complete inspection and/or test and condition assessment including, but not limited to the following:

   a. Ensure all emergency phones are:

      (1) Operable; and

      (2) Identify the correct location.

   b. Ensure that test logs are on site and up to date as per ASME A17.1.

      The correct test log form is State of Washington form F621-046-000.

   c. Ensure Operating Permits are current and properly posted.

   d. Pits for the presence of moisture.

   e. Emergency lights and alarm are functioning properly.

   f. Door edge detectors are operating properly.

g. Ensure that no equipment related materials are being stored in the machine room.

h. Ensure intercom is functioning properly.

C. Escalators

The Inspection and Condition Survey shall be a complete and comprehensive on-site survey of selected escalators. The survey/assessment shall include, but not be limited to:

1. Exterior

The inspection of the exterior of the escalator should address the overall condition and operation of the escalator including, but not limited to:

a. Cleanliness

b. Lighting

c. Combplate and combstep condition

d. Balustrade conditions

e. Step and skirt clearances

f. Speed

g. All safety devices are in good condition and operating correctly.

h. General operational condition and overall observations

2. Interior

The inspection of the interior components of the escalator apparatus shall address the overall condition and operation of the escalator including, but not limited to:

a. Cleanliness

b. Step inspection for A and B cracks

c. Step Chain condition

d. Brake condition

The components shall be carefully inspected for deficiencies such as missing or broken equipment, excessive wear, corrosion, deterioration, proper alignment, leaks and loose fittings.

3. Other

The Contractor shall perform a complete inspection and/or test and condition assessment of the following:

a. Test Logs; ensure that test logs are on site and up to date as per ASME A17.1. The correct form should be the State of Washington form F621-046-000.

b. Ensure operating permits are current and properly posted

c. Ensure that materials are **not** being stored in the machine room

D. Scheduling of Work

All work shall be coordinated through the designated County personnel. The County will furnish a list of designees upon award of bid.

7.10 Inspection Reporting

A. The Contractor shall track and submit one report per conveyance inspection to the Power and Facilities Project representative within five (5) days of completion of the inspection. The report shall indicate which conveyance was tested and inspected in full compliance with all applicable provisions, regulations and any other applicable requirements of the contract.

B. The reports shall include, at a minimum the:

1. Date of inspection;
2. Make/Type;
3. L & I Unit Number (on permit);
4. Transit location (i.e. East Base Stores);
5. Results of all tests and inspections;
6. Deficiencies noted that need immediate attention
7. Photographs as needed.

C. In addition to the report above, the Contractor shall submit an electronic copy of the report in Adobe PDF format. A paper copy of the report shall be submitted at the same time to the County.

7.11 Continuity of Work

Once the scheduled work has commenced, it shall continue in an undisrupted manner during scheduled working hours until completion. Elevator/Escalator down time shall be kept to the minimum.

7.12 Acceptance of Work

The Contractor shall notify the designated Power and Facilities Project Representative of completed work performed for each conveyance or group of conveyances. Two (2) copies of each invoice shall be sent to:

King County Metro
Attn: Jim Boe,
M/S KSC-TR-0828
201 S Jackson St
Seattle, WA 98104-3856

7.13 Referenced Standards

This Subsection incorporates by reference the latest revisions of the following documents as amended, and they are part of this Subsection insofar as specified and modified herein.

A. ASME A17.1-2004; A17.1a-2005 Safety Code for Elevators, Escalators

B. WAC 296-96 Safety regulations and fees for all elevators, dumbwaiters, escalators and other conveyances, Part D Regulations for Existing Elevators, Dumbwaiters, and Escalators, specifically:

   1. Subpart III Hydraulic Elevators, Sections 1 through 7; and
   2. Subpart IV Escalators, Sections 2, 3 and 4.

7.14 Submittals

A. Bidders shall submit the following forms with their Bid Submittal:

   1. Lobbying Certificate

      Bidders shall submit the completed Lobbying Certificate (located at the end of this ITB) with their bid submittal.

B. Required before Contract award and/or after Contract Award

   Bidder/Contractor shall provide the following items within five (5) days of the County's written request:

   1. Documentation of training and one (1) year of experience performing inspections and witnessing of tests as specified in ASME A17.1 and A18.1
   2. Qualified Elevator Inspectors Certification.

SECTION 8 Bid Response

8.1 Rules of Price Evaluation

Bids meeting all requirements of this ITB will be evaluated on price. Bids stating price in effect at the time of shipment will not be accepted. Bidders are cautioned **not** to alter the specification, pricing information section, and the terms and conditions of this Invitation to Bid (ITB). **Any alteration may render a bid non-responsive.** This means **do not** include your normal quote sheet as it may have terms and conditions that conflict with this ITB rendering your bid non-responsive.

8.2 Bidder's Contact Information

A. Primary Location

Physical Address: 1326 5TH AVE. #630 - SEATTLE, WA 98101

Mailing Address: SAME

Name of Contact Person: BOB NICHOLSON

Email: BOB@ARCHITECTURALELEVATOR.COM

Telephone No. (Local/Toll Free): (206) 527-2049

Name of Emergency Contact Person: BOB NICHOLSON

Include an "emergency" phone number for service required outside of the hours of operation stated below.

Emergency Telephone No. (206) 227-0417

Email: BOB@ARCHITECTURALELEVATOR.COM

State hours and days of operation:

Hours: 7:00 **a.m. to** 5:00 **p.m.** **Days:** MON **to** FRIDAY

8.3 Submittals

Contractor shall provide all submittals with their bid response as required under Subsection 7.13.A.

8.4 Prompt Pay Discount

Prompt payment discounts offered by Contractors shall be used to calculate the low bid provided the discount offered allows a minimum of 20 days for payment. The number of days is calculated from the date of acceptance of goods or services or from the date a complete invoice is date stamped as received by King County, whichever event occurs last, and the check/warrant date. The County will take advantage of any prompt payment discount terms bid. Discount periods shall be extended if:

- The date printed on the invoice is more than three (3) days earlier than the invoice receipt date;

8.5 Pricing (Revised)

In the event of a discrepancy between the unit price and the extended price, the unit price will prevail. Estimated quantities do not constitute a guarantee of work and are used for bid evaluation purposes only. The unit price shall include all costs including any and all incidental costs associated with the work. No additional costs of any kind may be added to the bid prices unless pre-approved by King County, including but not limited to: parking costs, mileage and travel time. **To be considered responsive, Bidders shall bid on all items in Schedule 1 below. It is the County's intent to award multiple contracts for this service.**

Schedule 1 – Elevator / Escalator Inspection Services

Item No.	Est. Qty	UOM	Description	Unit Price	Extended Price
1.	10	EA	Annual Maintenance and Condition Survey with Inspection Report - escalator	$ 750.00	$ 7,500.00
2.	15	EA	Annual Maintenance and Condition Survey with Inspection Report - elevator	$ 125.00	$ 1,875.00
3.	250	HR	Miscellaneous Services (other than Emergency Accident/Incident Investigation)	$ 100.00	$ 25,000.00
4.	5	HR	Emergency Accident/Incident Investigation	$ 125.00	$ 625.00
				Total (please enter total on cover page)	$ 35,000.00

- The delay is caused awaiting a credit memo, invoice correction, adjustment or reissue;
- An invoice is received prior to receiving goods ordered.

Prompt pay discount offered 2 **%** - 10 **Days, Net** 30

8.5 Pricing

In the event of a discrepancy between the unit price and the extended price, the unit price will prevail. Estimated quantities do not constitute a guarantee of work and are used for bid evaluation purposes only. The unit price shall include all costs including any and all incidental costs associated with the work. No additional costs of any kind may be added to the bid prices unless pre-approved by King County, including but not limited to: parking costs, mileage and travel time. **To be considered responsive, Bidders shall bid on all schedules as directed.**

Schedule 1 – Elevator / Escalator Inspection Services

Item No.	Est. Qty	UOM	Description	Unit Price	Extended Price
1.		HR	Detailed annual and condition surveys / inspections and testing - escalator	$	$
2.		HR	Detailed annual and condition surveys / inspections and testing - elevator	$	$
3.		HR	Miscellaneous Services (other than Emergency Accident/Incident Investigation)	$	$
4.		HR	Emergency Accident/Incident Investigation	$	$
		SEE ADDENDUM # 2		**Total** (please enter total on cover page)	$

8.6 References

List the names and addresses of four (4) customers, for whom the Bidder has performed or provided similar services, preferably in Washington State, for the three (3) year immediately prior to the bid submittal date. Include inclusive dates, contact persons and telephone numbers. Should any reference submitted by a bidder be found unsatisfactory, King County, at its sole option, may reject that bidder's bid. King County shall be the sole judge in determining a satisfactory/unsatisfactory reference response. **References shall be submitted with bid.**

Company Name: UNICO PROPERTIES

Company Address: 1215 4TH AVE., SUITE 600 SEATTLE, WA 98161

Company Phone: (206) 628-5072

Contact Person: LAURA THOMAS

Dates: 2000 TO PRESENT

Company Name: BENTAK KENNEDY
Company Address: 1420 FIFTH AVE. #400 - SEATTLE, WA
Company Phone: (206) 623-7385
Contact Person: LEE BURNS
Dates: 2006 TO PRESENT

Company Name: RENAISSANCE SEATTLE HOTEL
Company Address: 515 MADISON STREET - SEATTLE, WA
Company Phone: (206) 694-4911
Contact Person: KELLY LUEBSTORF
Dates: 2008 TO PRESENT

Company Name: VANCE CORPORATION
Company Address: 1809 7TH AVE., SUITE 300 SEATTLE, WA 98101
Company Phone: (206) 623-8030
Contact Person: MARK HOUTCHENS
Dates: 2001 TO PRESENT