



**KING COUNTY**

1200 King County Courthouse  
516 Third Avenue  
Seattle, WA 98104

**Signature Report**

**October 6, 2008**

**Ordinance 16262**

**Proposed No.** 2008-0490.2

**Sponsors** Ferguson

1 AN ORDINANCE relating to the mental illness and drug  
2 dependency evaluation plan; amending Ordinance 15949,  
3 Section 3, as amended, and K.C.C. 4.33.010 and adding a  
4 new section to K.C.C. chapter 4.33.

5

6 BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:

7 SECTION 1. Findings:

8 A. In 2005, the Washington state Legislature authorized counties to implement a  
9 one-tenth of one percent sales and use tax to support new or expanded chemical  
10 dependency or mental health treatment programs and services and for the operation of  
11 new or expanded therapeutic court programs and services.

12 B. In 2007, the King County council adopted Ordinance 15949 authorizing the  
13 levy and collection of, and legislative policies for the expenditure of revenues from, an  
14 additional sales and use tax of one-tenth of one percent for the delivery of mental health  
15 and chemical dependency services and therapeutic courts. The ordinance also established  
16 a policy framework for measuring the effectiveness of the public's investment, requiring

17 the King County executive to submit oversight, implementation and evaluation plans for  
18 the programs funded with the tax revenue.

19 C. In 2008, the Washington state Legislature amended RCW 82.14.460 in  
20 Chapter 157, Laws of Washington 2008, which defines those programs and services that  
21 are authorized for funding by the sales tax. The amendment added housing that is a  
22 component of a coordinated mental health or chemical dependency treatment program or  
23 service to the list of programs and services that are authorized for funding by the sales  
24 tax. The statute also amended the nonsupplanting provision to allow the sales tax funds  
25 to be used for replacement of lapsed federal funding previously provided for mental  
26 health, substance abuse and therapeutic court services and programs.

27 D In April 2008, the King County council adopted Ordinance 16077, establishing  
28 the King County mental illness and drug dependency oversight committee. The oversight  
29 committee is an advisory body to the King County executive and the council. The  
30 purpose of the oversight committee is to ensure that the implementation and evaluation of  
31 the strategies and programs funded by the tax revenue are transparent, accountable, and  
32 collaborative. The committee reviews and comments on quarterly, annual and evaluation  
33 reports as required in Ordinance 15949. It also reviews and comments on emerging and  
34 evolving priorities for the use of the mental illness and drug dependency sales tax  
35 revenue. The oversight committee members bring knowledge, expertise and the  
36 perspective necessary to successfully review and provide input on the development,  
37 implementation, and evaluation of the tax funded programs.

38 E. Ordinance 15949 directed the development of an evaluation plan to be  
39 developed in collaboration with an oversight group. The oversight group, under the

40 guidance of the department of community and human services, provided input on  
41 development of the evaluation plan, which was attached to the transmitted motion.

42 F. The evaluation plan describes the evaluation of the programs and services  
43 outlined in the mental illness and drug dependency action plan. It includes a proposed  
44 schedule for evaluations, performance measurements and performance measurement  
45 targets, and data elements that will be used for reporting and evaluations. In addition,  
46 Ordinance 15949 specifies that certain performance measures are to be included in the  
47 evaluation plan, including, but not be limited to: the amount of funding contracted to  
48 date, the number and status of request for proposals to date, individual program status and  
49 statistics such as individuals served, data on utilization of the justice and emergency  
50 medical systems and resources needed to support the evaluation requirements.

51 G. The council recognizes that evaluations are dynamic processes that evolve  
52 over time due to availability of data and because programs are added, removed or  
53 changed. As data becomes available and as current and future programs and strategies  
54 funded by the sales tax revenue are implemented, there may be necessary revisions to the  
55 evaluation plan and processes. Revisions to the evaluation plan and processes will be  
56 provided through the annual report made to the council on April 1 of each year. Updates  
57 on the evaluation processes will be provided to the council through the quarterly  
58 reporting cycles as specified in Ordinance 15949.

59 H. Performance measurement targets are critical components of the evaluation  
60 process, indicating the success or failure of a program or strategy. Therefore, it is critical  
61 that performance measurements assess the correct elements and performance  
62 measurement targets are accurately set and that both are revisited as the programs and

63 strategies are added and evolve. The county's community partners, in particular officials  
64 from cities in towns in King County, have affirmed the need for, and importance of,  
65 performance measurement targets for the tax funded programs and strategies. The  
66 revised evaluation plan includes preliminary performance measurement targets. The  
67 council recognizes that these targets are preliminary and will be impacted by changes in  
68 program implementation as well as available data or other factors. It is the policy of the  
69 county that the preliminary targets, and any targets established in the future, for the tax  
70 funded programs and strategies are to be revised through the annual reporting process to  
71 reflect revisions to the strategies, programs, data and other processes.

72 I. It is the policy of the council that performance measures and performance  
73 measurement targets be established for each of the strategies, as well as any new  
74 strategies that are established. Such specific performance measures may include: output  
75 measures such as program utilization numbers; performance measurement targets may  
76 include targets for expected utilization. New or revised performance measures and  
77 performance measurement targets for all strategies will be proposed and included in the  
78 April 1, 2009, annual report.

79 J. In August 2008, the council was made aware of the desire by the county's  
80 community partners to have a historical control group established in order to more  
81 accurately measure the impact of the tax funded strategies and programs on King County  
82 jail recidivism. The oversight committee will review and study the concept of  
83 establishing a historical control group for evaluative purposes and make a  
84 recommendation in the April 1, 2009, annual report. Representatives from the

85 department of adult and juvenile detention, the department of community and human  
86 services, and council staff will assist the oversight group with its analysis.

87 K. The data needs for evaluating the tax funded programs and strategies are  
88 extensive. The data needed to evaluate the strategies and programs funded with the sales  
89 tax revenue resides with King County's agencies and also with the county's community  
90 partner organizations, stakeholders, providers, entities and jurisdictions. The council  
91 recognizes the need for, and requests the cooperation of, the county's community partners  
92 to share and coordinate the data necessary for the evaluation of the mental illness and  
93 drug dependency strategies.

94 L. King County is the countywide provider of mental health and substance abuse  
95 services and the programs and strategies of the tax funded programs shall available to all  
96 county residents regardless of jurisdiction.

97 M. The evaluation components and performance measures contained in the  
98 evaluation plan which is Attachment A to this ordinance, or future evaluation plans may  
99 be revised by the council based on changes to county policy, revisions to any current or  
100 future programs and strategies, or recommendation from the county executive or the  
101 oversight committee.

102 N. Performance measurements and performance measurement targets are  
103 included in the evaluation plan in Attachment A to this ordinance.

104 SECTION 2. The mental illness and drug dependency evaluation plan, as  
105 required in Ordinance 15949 and that is Attachment A to this ordinance, is hereby  
106 adopted. Adoption of this ordinance satisfies the proviso requirement concerning the

107 council's approval of the evaluation plan contained in Ordinance 15975, Section 72, as  
108 amended.

109 SECTION 3. Ordinance 15949, Section 3, as amended, and K.C.C. 4.33.010 are  
110 each hereby amended to read as follows:

111 A. It is the policy of the county that citizens and policy makers be able to  
112 measure the effectiveness of the investment of these public funds. The county requires  
113 appropriate oversight, accountability and reporting on the status and progress of the  
114 programs supported with the sales tax funds. The programs supported with these funds  
115 shall be designed to achieve the following policy goals:

116 1. A reduction of the number of mentally ill and chemically dependent using  
117 costly interventions like jail, emergency rooms and hospitals;

118 2. A reduction of the number of people who recycle through the jail, returning  
119 repeatedly as a result of their mental illness or chemical dependency;

120 3. A reduction of the incidence and severity of chemical dependency and mental  
121 and emotional disorders in youth and adults;

122 4. Diversion of mentally ill and chemically dependent youth and adults from  
123 initial or further justice system involvement; and

124 5. Explicit linkage with, and furthering the work of, other council directed  
125 efforts including, the adult and juvenile justice operational master plans, the Plan to End  
126 Homelessness, the Veterans and Human Services Levy Services Improvement Plan and  
127 the county Recovery Plan.

128 B. To ensure the oversight, implementation and evaluation of the Mental Illness  
129 and Drug Dependency Action Plan is consistent with the county's policy goals outlined in

130 subsection A. of this section and to ensure fulfillment of the requirements of RCW  
131 82.14.460 which enables the sales tax, the office of management and budget, the  
132 departments of community and human services, public health and adult and juvenile  
133 detention, superior court, district court, the prosecuting attorney, the public defender and  
134 the sheriff are requested, with assistance from council staff, to develop and submit for  
135 council review and approval an oversight, implementation and evaluation plan for the  
136 Mental Illness and Drug Dependency Action Plan accepted by council by Motion 12598.

137 C. The oversight, implementation and evaluation plan shall have three parts:

138 1. Part One: Oversight Plan. Part one of the oversight, implementation and  
139 evaluation plan shall be an oversight plan. Part one, the oversight plan, shall propose an  
140 oversight group that will be responsible for the ongoing oversight of the mental illness  
141 and drug dependency action plan. The oversight group shall include representation from  
142 other county, state and community agencies and entities involved in the mental health,  
143 substance abuse, domestic violence and sexual assault, homeless, justice, public health  
144 and hospital systems. The oversight plan shall also identify the proposed role of the  
145 oversight group and how the oversight group will link and coordinate with other existing  
146 county groups such as the Criminal Justice Council, the Committee to End Homelessness  
147 and the veterans and human services levy oversight groups. Part one of the oversight,  
148 implementation and evaluation plan shall be submitted to the council by April 1, 2008,  
149 for council review and approval by motion. Twelve copies of the part one oversight plan  
150 shall be filed with the clerk of the council, for distribution to all councilmembers and to  
151 the lead staff the law, justice and human services committee or its successor;

152           2. Part Two: Implementation Plan. Part two of the oversight, implementation  
153 and evaluation plan is an implementation plan. Part two, the implementation plan, shall  
154 describe the implementation of the programs and services outlined in the Mental Illness  
155 and Drug Dependency Action Plan. This description shall include: a schedule of the  
156 implementation of programs and services outlined in the Mental Illness and Drug  
157 Dependency Action Plan; a discussion of needed resources, including staff, information  
158 and provider contracts; and milestones for implementation of the programs. The  
159 implementation plan shall address how adult drug diversion court, one of the county's  
160 therapeutic courts, may also utilize sales tax revenue for program expansion.  
161 Additionally, because the council recognizes that there is a strong correlation between  
162 sexual assault and domestic violence victimization and subsequent mental health  
163 problems, substance abuse, homelessness, incarceration and usage of the emergency  
164 medical system, the implementation plan shall include a proposal on how to integrate  
165 programs that support specialized mental health or substance abuse counseling, therapy  
166 and support groups for victims of sexual assault, victims of domestic violence and  
167 children exposed to domestic violence, provided by or in collaboration with recognized  
168 sexual assault and domestic violence services providers. A revised 2008 spending plan  
169 and financial plan for the mental illness and drug dependency fund shall be included in  
170 part two. Part two shall be developed in collaboration with the oversight group. Part two  
171 of the oversight, implementation and evaluation plan shall be submitted to the council by  
172 July 3, 2008, for council review and approval by motion. Twelve copies of the part two  
173 implementation plan to the council shall be filed with the clerk of the council, for

174 distribution to all councilmembers and to the lead staff the law, justice and human  
175 services committee or their successors; and

176 3. Part Three: Evaluation Plan. Part three of the oversight, implementation and  
177 evaluation plan is an evaluation plan. Part three, the evaluation plan, shall describe an  
178 evaluation and reporting plan for the programs funded with the sales tax revenue. Part  
179 three shall specify: process and outcome evaluation components; a proposed schedule for  
180 evaluations; performance measurements and performance measurement targets; and data  
181 elements that will be used for reporting and evaluations. Performance measures shall  
182 include, but not be limited to: the amount of funding contracted to date, the number and  
183 status of request for proposals to date, individual program status and statistics such as  
184 individuals served, data on utilization of the justice and emergency medical systems and  
185 resources needed to support the evaluation requirements identified in this subsection C.3.  
186 Part three shall be developed in collaboration with the oversight group. Part three of the  
187 oversight, implementation and evaluation plan shall be submitted to the council by  
188 August 1, 2008, for council review and approval by motion. Twelve copies of the part  
189 three evaluation plan to the council shall be filed with the clerk of the council, for  
190 distribution to all councilmembers and to the lead staff the law, justice and human  
191 services committee or their successors.

192 D.1. In addition to reviewing and approving the parts one, two and three of the  
193 oversight, implementation and evaluation plan outlined in subsection C. of this section, in  
194 coordination with the oversight group, the executive shall submit four quarterly progress  
195 reports and an one annual summary report for the programs supported with the sales tax  
196 revenue to the council. The quarterly reports shall include at a minimum:

- 197 a. performance measurement statistics;
- 198 b. program utilization statistics;
- 199 c. request for proposal and expenditure status updates; ~~((and))~~
- 200 d. progress reports on evaluation implementation;
- 201 e. geographic distribution of the sales tax expenditures across the county,
- 202 including collection of residential ZIP code data for individuals served by the programs
- 203 and strategies; and
- 204 f. updated financial plan.

205 2.a. The quarterly reports to the council are due to the council March 1, June 1,  
206 September 1 and December 1 for council review for years one and two and thereafter,  
207 every six months.

208 b.(1) The annual report to the council shall be submitted to the council by  
209 April 1, for council review and acceptance by motion. The annual report shall also  
210 include:

- 211 (a) a summary of quarterly report data;
- 212 (b) updated performance measure targets for the following year of the
- 213 programs; ~~((and))~~
- 214 (c) recommendations on program and/or process changes to the funded
- 215 programs based on the measurement and evaluation data;
- 216 (d) recommended revisions to the evaluation plan and processes; and
- 217 (e) recommended performance measures and performance measurement
- 218 targets for each mental illness and drug dependency strategy, as well as any new
- 219 strategies that are established. New or revised performance measures and performance

220 measurement targets for the strategies shall be identified and included in the April 1,  
221 2009, annual report and in each annual report thereafter.

222 3. Twelve copies of the quarterly reports and the annual report to the council  
223 shall be filed with the clerk of the council, for distribution to all councilmembers and to  
224 the lead staff the law, justice and human services committee or its successor.

225 E. Concurrent with the executive's 2009 budget proposal, and for each  
226 subsequent year that the tax exists, the executive shall submit a report on program  
227 expenditures and revenue as part of the annual budget review process. The information  
228 submitted with the executive's budget shall include an annual updated financial plan and  
229 a detailed spending plan for the tax funding, as well as revenue information. The  
230 elements of an annual spending plan, at a minimum, shall include:

231 1. A detailed list of funded activities along with a budget and revenue for each  
232 activity;

233 2. A reasonable estimate of cost per unit of service of activities;

234 3. The anticipated number of service units to be provided for each activity or  
235 item;

236 4. How many individuals are estimated to be served in each activity;

237 5. Whether the activity is to be completed by the county or by a contracted  
238 provider; and

239 6. Full time equivalent or term-limited temporary employee impact if service is  
240 provided by the county.

241 SECTION 4. The mental illness and drug dependency oversight committee shall  
242 review and study the concept of establishing a historical control group for evaluative

243 purposes. The oversight committee members shall make a recommendation on  
244 establishing a control group to measure recidivism in the King County jail in the April 1,  
245 2009, annual report that is submitted to the council. Representatives from the department  
246 of adult and juvenile detention, the department of community and human services, and  
247 council staff shall assist the oversight group with its analysis.

248 NEW SECTION. SECTION 5. There is hereby added to K.C.C. chapter 4.33 a  
249 new section to read as follows:

250 The council shall conduct a comprehensive review and analysis of the evaluation  
251 measures, targets, benchmarks and data related to the mental illness and drug dependency  
252 programs and strategies. This review shall occur every three years. The first review shall  
253

254 occur in 2011.

255 SECTION 6. Sections 3 and 5 of this ordinance expire January 1, 2017.

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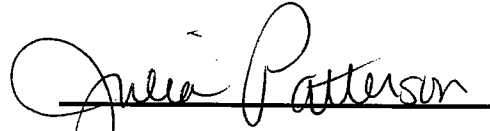
Ordinance 16262 was introduced on 9/8/2008 and passed by the Metropolitan King County Council on 10/6/2008, by the following vote:

Yes: 8 - Ms. Patterson, Mr. Dunn, Mr. Constantine, Mr. von Reichbauer, Mr. Ferguson, Mr. Gossett, Mr. Phillips and Ms. Hague


No: 0

Excused: 1 - Ms. Lambert

KING COUNTY COUNCIL  
KING COUNTY, WASHINGTON

  
\_\_\_\_\_  
Julia Patterson, Chair

ATTEST:

  
\_\_\_\_\_  
Anne Noris, Clerk of the Council

APPROVED this 20 day of OCTOBER, 2008

  
\_\_\_\_\_  
Ron Sims, County Executive

RECEIVED  
2008 OCT 20 PM 4:03  
CLERK  
KING COUNTY COUNCIL

**Attachments** A. Mental Illness and Drug Dependency Action Plan Part 3 - Evaluation Plan Version  
2 REVISED 9-2-08

2008-0490

# ATTACHMENT A.

# 16262



King County

## Mental Health, Chemical Abuse and Dependency Services

### Mental Illness and Drug Dependency Action Plan

#### Part 3: Evaluation Plan

VERSION 2

REVISED September 2, 2008



**King County**

**Mental Illness and Drug Dependency Action Plan**

**Evaluation Targets Addendum  
September 2, 2008**

