



KING COUNTY

1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104

Signature Report

November 21, 2000

VETOED

Ordinance 13999

Proposed No. 2000-0580.3

Sponsors McKenna, Hague and Nickels

1 AN ORDINANCE adopting the 2001 Annual Budget and
2 making appropriations for the operation of county agencies and
3 departments and capital improvements for the fiscal year
4 beginning January 1, 2001, and ending December 31, 2001.

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7 BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:

8 SECTION 1. The 2001 Annual Budget is hereby adopted and, subject to the
9 provisions hereinafter set forth and the several amounts hereinafter specified or so much
10 thereof as shall be sufficient to accomplish the purposes designated, appropriations are
11 hereby authorized to be distributed for salaries, wages and other expenses of the various
12 agencies and departments of King County, for capital improvements, and for other specified
13 purposes for the fiscal year beginning January 1, 2001, and ending December 31, 2001, out
14 of the several funds of the county hereinafter named and set forth in the following sections.

15 SECTION 2. Within the appropriation units are sums to cover merit pay and cost
16 of living adjustments. Previous county budgets included these sums within the Salary
17 and Wage Contingency Account. The county executive is authorized to distribute the
18 required portions of these sums among the affected positions in each appropriation unit

19 effective January 1, 2001. In the event proposed cost-of-living adjustments are greater
20 than funding provided, the executive may request that an appropriation unit's budget be
21 augmented as required from funds available to the county but not otherwise appropriated;
22 provided that a proposed ordinance shall be forwarded to the council to appropriate said
23 sums by appropriation unit.

24 SECTION 3. Notwithstanding the provisions of Sections 1 and 2 of this ordinance,
25 Section 123 of this ordinance shall become effective 10 days after the executive's approval as
26 provided in the County Charter.

27 SECTION 4. The council finds that the enactment of Initiative I-722 and the
28 consequent loss of current expense revenues requires reduced expenditures. It is the policy
29 determination of the county to achieve these savings through a restriction on filling certain
30 vacancies. To implement this and provide sufficient financial flexibility to respond to I-722,
31 the appropriation amounts are reduced in this ordinance for certain appropriation units in the
32 2001 King County budget that have vacancies in current expense-supported full time
33 equivalent positions (CXFTE) or current expense-supported term limited temporary positions
34 (CXTLT) that are not essential for public safety.

35 The council finds that in order to ensure sufficient agency savings it is necessary to
36 adopt a restriction on filling certain FTE vacancies from January 1, 2001, through June 30,
37 2001. Each section of this ordinance in which the spending authority of the appropriation
38 unit is so limited contains a proviso specifying a dollar amount identified as "Jan-June 2001
39 CXFTE vacancy restriction" and also specifying a "2001 CXTLT vacancy restriction." Each
40 such "CXFTE vacancy restriction" proviso specifies a dollar amount by which the total
41 appropriation has been reduced to reflect the restriction on filling certain vacant CXFTEs
42 from January 1, 2001, through June 30, 2001. Each such "CXTLT vacancy restriction" is a

43 prohibition on the expenditure or encumbrance of any of the total appropriation amount to fill
44 vacant CXTLTs during 2001.

45 Any expenditure or encumbrance to fill vacant CXFTE positions beyond the amounts
46 specifically provided in this ordinance, and any expenditure or encumbrance to fill any
47 vacant CXTLT positions, may occur only upon the enactment of a supplemental
48 appropriation ordinance in 2001 granting the required additional appropriation authority.

49 The executive shall submit vacancy reports for every county agency to the
50 metropolitan King County council by the fifteenth day of every month for the preceding
51 month. The report, with 15 copies, must be filed with the clerk of the council, who will
52 retain the original and will forward copies to the chair of the budget and fiscal management
53 committee, each councilmember and to the lead staff of the budget and fiscal management
54 committee.

55 SECTION 5. COUNTY COUNCIL - From the current expense fund there is hereby
56 appropriated to:

57 County council \$5,356,243

58 The maximum number of FTEs for county council shall be: 64.00

59 PROVIDED THAT:

60 It is the intent of the council that the county incorporate the findings of the September
61 1, 2000, proviso response of the budget office concerning unfunded state mandates into its
62 2001 legislative agenda. The county legislative steering committee shall review and
63 incorporate the major findings of this budget office report into the proposed 2001 legislative
64 agenda for those issues where legislative change is needed to reduce or eliminate the fiscal
65 impact of unfunded, but unreimbursable, mandates. In addition, the steering committee, in
66 consultation with the office of the prosecuting attorney, should approach the state legislature
67 with the data showing that mandates that should be reimbursable under state law are not

68 being funded. The steering committee should develop a proposed plan that identifies these
69 reimbursable mandates for consideration by the state legislature for funding in the 2001-02
70 biennial budget. The steering committee shall include its progress on addressing these
71 unfunded mandates as part of its regular reporting to the council.

72 PROVIDED FURTHER THAT:

73 It is the council's intent for the committee of the whole to analyze the need for an
74 amendment to the King County Charter to improve the county's budget development and
75 review process. The analysis shall include, at a minimum, evaluating the timing of the
76 presentation of the budget to the council and the advisability of a biennial budget process.
77 The committee of the whole shall solicit input from citizen groups with an interest in
78 improving the efficiency of government so that the council may conduct a more deliberative
79 review.

80 SECTION 6. COUNCIL ADMINISTRATION - From the current expense fund
81 there is hereby appropriated to:

82 Council administration \$6,984,078

83 The maximum number of FTEs for council administration shall be: 62.00

84 PROVIDED THAT:

85 A. This appropriation amount reflects a reduction for the Jan-June 2001 CXFTE
86 vacancy restriction, as defined in Section 4 of this ordinance, in the amount of \$110,061, and

87 B. Reflects a reduction for the CXTLT vacancy restriction as defined in Section 4
88 of this ordinance.

89 PROVIDED FURTHER THAT:

90 It is the intent of the council to develop a proposed ordinance that would prohibit
91 the use of "contras", or their functional equivalent, in the proposed annual budget

92 ordinance or any proposed supplemental appropriation ordinances. This restriction is
93 necessary because contras disguise the true size of the proposed budget and make
94 comparisons between actual expenditures and budgeted expenditures extremely difficult.

95 SECTION 7. HEARING EXAMINER - From the current expense fund there is
96 hereby appropriated to:

97 Hearing examiner \$572,238

98 The maximum number of FTEs for hearing examiner shall be: 5.00

99 SECTION 8. COUNCIL AUDITOR - From the current expense fund there is hereby
100 appropriated to:

101 Council auditor \$1,367,024

102 The maximum number of FTEs for council auditor shall be: 11.00

103 SECTION 9. OMBUDSMAN/TAX ADVISOR - From the current expense fund
104 there is hereby appropriated to:

105 Ombudsman/tax advisor \$755,580

106 The maximum number of FTEs for ombudsman/tax advisor shall be: 9.00

107 SECTION 10. KING COUNTY CIVIC TELEVISION - From the current expense
108 fund there is hereby appropriated to:

109 King County civic television \$486,787

110 The maximum number of FTEs for King County civic television shall be: 7.00

111 PROVIDED THAT:

112 A. This appropriation amount reflects a reduction for the Jan-June 2001 CXFTE
113 vacancy restriction, as defined in Section 4 of this ordinance, in the amount of \$8,510, and

114 B. Reflects a reduction for the CXTLT vacancy restriction as defined in Section 4 of
115 this ordinance.

139 A. This appropriation amount reflects a reduction for the Jan-June 2001 CXFTE
140 vacancy restriction, as defined in Section 4 of this ordinance, in the amount of \$37,844, and

141 B. Reflects a reduction for the CXTLT vacancy restriction as defined in Section 4 of
142 this ordinance.

143 PROVIDED FURTHER THAT:

144 It is the intent of the council that a task force be established to identify the steps
145 necessary to implement a new budget process that would better reflect county policy
146 decisions and allow for better fiscal oversight. The task force shall consist of the county
147 executive, chair of the council, chair of the council's budget and fiscal management
148 committee, budget office director, finance director, human resource director, county auditor,
149 designated council staff, or their designees. It is the intent of the council that the task force
150 be supported with appropriate county staff resources—including legal advice from the office
151 of the prosecuting attorney—and with consultant services when needed.

152 The task force will take testimony, conduct workgroups, and develop a final report
153 that will, at a minimum, consider:

154 A. Budgeting at the levels of organizational unit, program, function, or service rather
155 than the current system of department and fund. That the task force use, as a basis for its
156 evaluation, the guidelines of the governmental fiscal officers association and other identified
157 budgeting best practices.

158 B. That a new budgeting system identify for each direct service agency, its goals,
159 core business functions, its level of regional, unincorporated, and contract services, and
160 process and performance measures necessary for evaluating the work of the department or
161 unit.

162 C. That the new budgeting system identify for each internal service agency the same
163 basic data as required for other agencies, but also identify how rate allocation methodologies

164 can be developed that adequately cover the costs of services and also equitably charge client
165 agencies for value received.

166 D. That the task force identify what types of fiscal and program information are
167 needed to support the development of the budget and to monitor ongoing budget compliance.

168 E. That the task force evaluate current timelines for budget preparation and
169 submission for council review, submission of rate methodologies for council review, and the
170 submission of budget supplementals and other budget related legislation and make
171 recommendations for future processes in light of the task force intent to allow for better fiscal
172 oversight and policy decision-making.

173 The draft report shall be submitted by May 1, 2001, to the council for review and
174 approval by motion. Based on the council review and approval of the draft task force report,
175 the executive shall incorporate any identified changes, develop a final report, and complete
176 and submit legislation needed to implement task force recommendations by September 1,
177 2001. The draft report, final report, and a summary of needed legislation must be filed, with
178 15 copies for each work product, with the clerk of the council, who will retain the original
179 and will forward copies to each councilmember and to the lead staff of the budget and fiscal
180 management committee or its successor.

181 PROVIDED FURTHER THAT:

182 It is the intent of the council that the executive report on how the county will ensure
183 that any work related to the financial systems replacement project or any other work with the
184 county's core financial systems fully incorporates the goals of providing timely and accurate
185 information for budget tracking, management, and development. The executive shall include
186 this report with any plan submitted to the council for review of the financial systems "re-
187 start" or any other plan for modifying or improving the county's core financial systems and
188 shall specifically identify how the plan incorporates specific corrections or additions to the

189 existing system that address the deficiencies in all budget related reporting or tracking
190 components/modules.

191 PROVIDED FURTHER THAT:

192 It is the intent of the council that the executive develop a process in which the
193 agencies that provide services (central rate departments) develop a contracting relationship
194 with direct service agencies. The executive shall develop process and model contract
195 methodologies, and submit these for council review and approval by April 1, 2001. The
196 model contracts, at a minimum, should include definitions of the types of services that the
197 central rate department provides, how the services are provided, how they will be measured,
198 and how the costs of those services are calculated. In addition, the model contracts should
199 identify a "benchmark" for each service from the public or private sector that demonstrates
200 that the services and their rates are appropriate. The plan identifying the contracting policies
201 and the model contracts shall be filed, with 15 copies, with the clerk of the council, who will
202 retain the original and will forward copies to each councilmember and to the lead staff of the
203 budget and fiscal management committee or its successor.

204 PROVIDED FURTHER THAT:

205 No more than \$2,012,350 shall be expended or encumbered from this appropriation
206 until the council adopts a motion that approves a management structure to coordinate the
207 county's response to the listing of species by the National Marine Fisheries Service as
208 threatened or endangered under the Endangered Species Act including the role of the
209 biological review panel. A proposed management structure shall be transmitted by the
210 executive to council by March 1, 2001. The report must be filed in the form of 15 copies
211 with the clerk of the council, who will retain the original and will forward copies to each
212 councilmember and to the lead staff the natural resources, parks and open space committee or
213 its successor.

214 PROVIDED FURTHER THAT:

215 \$250,000 may not be expended or encumbered until the deputy county executive
216 submits a report to council, and it is approved by motion to identify opportunities to increase
217 efficiencies through the use of more public-private partnerships in the provision of indirect
218 and direct services to the citizens of King County. The report shall include identification of
219 such opportunities within all county departments that are significantly supported from the
220 current expense fund and which have total annual budgets greater than \$10 million and
221 include examination of options for increased use of request for proposals that would allow
222 municipalities or private sector firms to bid on projects. The report must be filed in the form
223 of 15 copies with the clerk of the council, who will retain the original and will forward copies
224 to each councilmember and to the lead staff of the budget and fiscal management committee
225 or its successor

226 SECTION 14. BUDGET OFFICE - From the current expense fund there is hereby
227 appropriated to:

228	Budget office	\$3,061,412
229	The maximum number of FTEs for budget office shall be:	36.00

230 PROVIDED THAT:

231 A. This appropriation amount reflects a reduction for the Jan-June 2001 CXFTE
232 vacancy restriction, as defined in Section 4 of this ordinance, in the amount of \$255,450, and

233 B. Reflects a reduction for the CXTLT vacancy restriction as defined in Section 4 of
234 this ordinance.

235 PROVIDED FURTHER THAT:

236 \$250,000 in the budget office shall not be expended or encumbered until the council
237 adopts a motion that approves a current expense financial plan. The executive shall submit a
238 proposed financial plan on or before June 1, 2001, that includes:

239 A. A plan to offset the anticipated CX deficits in the out years (2002—2003).

240 B. An analysis of salary/wage control measures.

241 C. An evaluation of the core mission of the county and recommendations for

242 fulfilling this mission within the financial constraints the county is currently in.

243 The financial plan required to be submitted by this proviso must be filed in the form
244 of 15 copies with the clerk of the council, who will retain the original and will forward copies
245 to each councilmember and to the lead staff for the budget and fiscal management committee
246 or its successor.

247 PROVIDED FURTHER THAT:

248 \$250,000 of this appropriation shall not be expended or encumbered until the budget
249 office submits to the council, and the council approves by motion, a report detailing strategies
250 and fiscal impacts for all affected county departments to provide refunds to the public in
251 2002 as required by and in response to the passage of state initiative I-722. The report shall
252 be transmitted to the council on or before May 1, 2001. The report required to be submitted
253 by this proviso must be filed in the form of 15 copies with the clerk of the council, who will
254 retain the original and will forward copies to each councilmember and to the lead staff of the
255 budget and fiscal management committee or its successor.

256 PROVIDED FURTHER THAT:

257 A portion of this appropriation shall be used to print copies of the executive proposed
258 capital improvement program for 2002. The number of copies printed shall be sufficient to
259 provide copies, at a minimum, for councilmembers, council staff and for distribution to the
260 public libraries in the county.

261 PROVIDED FURTHER THAT:

262 The executive shall ensure the accuracy and quality of the payroll data included in
263 ARMS. The Staff and Salary Vacant Positions ARMS AF110078 report shall be provided to

264 council online and in paper form on a monthly basis reflecting information for the preceding
265 month.

266 To assess the accuracy of the staff and salary vacant positions ARMS AF110078
267 report, the executive shall provide a monthly report independent of ARMS showing vacant
268 positions by department. The report must indicate whether a TLT is backfilling the position,
269 the salary and benefits associated with a position, and how long the position has been vacant.
270 The independent vacancy report shall be provided concurrently with the staff and salary
271 vacant positions ARMS AF110078 report. \$250,000 of this appropriation may not be
272 expended or encumbered. Each month upon transmittal of both reports to the council,
273 \$25,000 of this restriction shall be lifted.

274 Any report or plan required to be submitted by this proviso must be filed in the form
275 of 15 copies with the clerk of the council, who will retain the original and will forward copies
276 to each councilmember and to the lead staff of the budget and fiscal management committee
277 or its successor.

278 PROVIDED FURTHER THAT:

279 It is the intent of the council that the budget office work collaboratively with the
280 council to ensure that the detailed budget information is shared with the council in a timely
281 fashion to improve county budgetary decision making and to modify budget information
282 systems as necessary. At a minimum, the council budget information needs that are to be
283 incorporated into any existing or new budget information systems shall include monthly entry
284 of actual revenue, actual expenditures, and supplemental appropriations into the Essbase
285 system or its replacement. Council will have access to the system at all times except during
286 maintenance as required. The budget office shall present to the budget and fiscal
287 management committee by March 31, 2001, a written status report on the effort to better
288 address council informational needs. \$250,000 of this appropriation may not be expended or

